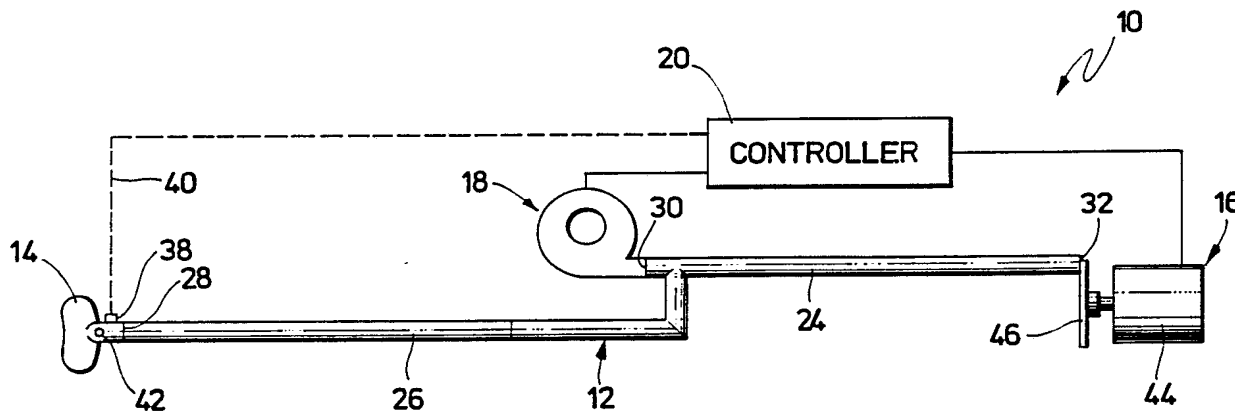




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification ⁵ : A61M 16/00		A1	(11) International Publication Number: WO 90/14121 (43) International Publication Date: 29 November 1990 (29.11.90)
(21) International Application Number: PCT/US90/02800 (22) International Filing Date: 21 May 1990 (21.05.90) (30) Priority data: 354,143 19 May 1989 (19.05.89) US 513,757 24 April 1990 (24.04.90) US (71) Applicant: PURITAN-BENNETT CORPORATION [US/ US]; 10800 Pflumm Road, Lenexa, KS 66215-2198 (US). (72) Inventors: TRIMBLE, Russell, L. ; 12006 Goddard Circle, Overland Park, KS 66213 (US). GRUENKE, Roger, A. ; 10144 Mackey, Overland Park, KS 66212 (US). SNOOK, James, A. ; 7575 West 106th Street, #189, Overland Park, KS 66212 (US). ORLT, Yuri, G. ; 8955 Hauser Drive, Lenexa, KS 66215 (US). LOETHEN, Steven, W. ; 19300 East 26th Terrace, Independence, MO 64057 (US).			(74) Agents: DICKEY, Steven, R. et al. ; Hovey, Williams, Tim- mons & Collins, 1101 Walnut Street, Suite 1400, Kansas City, MO 64106 (US). (81) Designated States: AT, AT (European patent), AU, BB, BE (European patent), BF (OAPI patent), BG, BJ (OAPI patent), BR, CA, CF (OAPI patent), CG (OAPI patent), CH, CH (European patent), CM (OAPI patent), DE*, DE (European patent)*, DK, DK (European patent), ES (European patent), FI, FR (European patent), GA (OA- PI patent), GB, GB (European patent), HU, IT (Euro- pean patent), JP, KP, KR, LK, LU, LU (European pa- tent), MC, MG, ML (OAPI patent), MR (OAPI patent), MW, NL, NL (European patent), NO, RO, SD, SE, SE (European patent), SN (OAPI patent), SU, TD (OAPI patent), TG (OAPI patent). Published <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: INSPIRATORY AIRWAY PRESSURE SYSTEM



(57) Abstract

An apparatus (10) and method for facilitating the respiration of a patient are disclosed which are particularly useful in treating mixed and obstructive sleep apnea and certain cardiovascular conditions, among others, by increasing nasal air pressure delivered to the patient's respiratory passages just prior to inhalation and by subsequently decreasing the pressure to each exhalation effort. The preferred apparatus (10) includes a patient-coupled gas delivery device (14) for pressurizing the patient's nasal passages at a controllable pressure, and a controller (20) coupled with the delivery device (14) having a pressure transducer (700) for monitoring the nasal pressure and a microcontroller (802) for selectively controlling the nasal pressure. In operation, the controller (20) determines a point in the patient breathing cycle just prior to inhalation and initiates an increase in nasal pressure at that point in order to stimulate normal inhalation, and subsequently lowers the nasal pressure to ease exhalation efforts.

DESIGNATIONS OF "DE"

Until further notice, any designation of "DE" in any international application whose international filing date is prior to October 3, 1990, shall have effect in the territory of the Federal Republic of Germany with the exception of the territory of the former German Democratic Republic.

FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	ES	Spain	MC	Monaco
AU	Australia	FI	Finland	MG	Madagascar
BB	Barbados	FR	France	ML	Mali
BE	Belgium	GA	Gabon	MR	Mauritania
BF	Burkina Fasso	GB	United Kingdom	MW	Malawi
BG	Bulgaria	GR	Greece	NL	Netherlands
BJ	Benin	HU	Hungary	NO	Norway
BR	Brazil	IT	Italy	RO	Romania
CA	Canada	JP	Japan	SD	Sudan
CF	Central African Republic	KP	Democratic People's Republic of Korea	SE	Sweden
CG	Congo			SN	Senegal
CH	Switzerland	KR	Republic of Korea	SU	Soviet Union
CM	Cameroon	LI	Liechtenstein	TD	Chad
DE	Germany, Federal Republic of	LK	Sri Lanka	TG	Togo
DK	Denmark	LU	Luxembourg	US	United States of America

INSPIRATORY AIRWAY PRESSURE SYSTEM

This application is a continuation-in-part of Serial No. 07/354,143, filed May 19, 1989.

Background of the Invention

1. Field of the Invention

The present invention relates to an apparatus and method for facilitating the respiration of a patient and is particularly useful in treating disturbed breathing, snoring, mixed obstructive sleep apnea, and certain cardiovascular sleep conditions. More particularly, the present invention is concerned with an apparatus and method for imposing a positive pressure on the patient's airways just prior to the onset of inhalation in order to induce and/or permit inhalation, and for subsequently reducing the pressure on the airways to ease exhalation effort. Another aspect of the invention is concerned with monitoring sounds associated with patient's respiration and controlling the gas pressure delivered to the patient's respiratory passages in accordance with the sounds.

2. Description of the Prior Art

Obstructive sleep apnea is a sleep disorder characterized by relaxation of the airway including the genioglossus throat muscle tissue during sleep. When this occurs, the relaxed muscle can partially or completely block the patient's airway, a condition more prevalent in overweight patients. Partial blockage can result in snoring. Complete blockage can result in sleep apnea.

When complete blockage occurs, the patient's inhalation efforts do not result in the intake of air and the patient becomes oxygen deprived. In reaction, the patient begins to awaken. Upon reaching a nearly awakened state, the genioglossus muscle resumes normal tension which clears the airway and allows inhalation to occur. The patient then falls back to a deeper sleep whereupon the genioglossus muscle again relaxes and the apneic cycle repeats.

Central apnea is when no inspiratory effort occurs or is delayed. Central apnea may be combined with obstructive apnea, known as mixed apnea. Other breathing irregularities such as Cheynes Stockes breathing may have apneic intervals when intake airflow ceases.

In some patients, sleep apnea events can occur dozens of times during the course of a sleep session. In consequence, the patient never achieves a fully relaxed, deep sleep session because of the repetitive arousal to a nearly awakened state. The patient is also deprived of REM (rapid eye movement) sleep. People afflicted with sleep apnea are continually tired even after an apparently normal night's sleep.

1 In order to treat obstructive sleep apnea, the so-called continuous positive
airway pressure (CPAP) system has been devised in which a prescribed level of
positive airway pressure is continuously imposed on the patient's airways. The
presence of such positive pressure on the airways provides a pressure splint to offset
5 the negative inspiratory pressure to maintain tissue position tension and thereby
maintain an open patient airway. The positive airway connection with a patient is
typically achieved by way of a nasal pillow such as that disclosed in U.S. Patent No.
4,782,832 hereby incorporated by reference in which the nasal pillow seals with the
patient's nares and imposes the positive airway pressure on the nasal passages.

10 The CPAP system meets with objections from patients, however, because the
patient must exhale against the positive pressure. This increases the work to exhale.
Some patients have difficulty getting used to this and as a result, may discontinue the
therapy. Drying of the nose and airway due to continuous circulation of room air is
also a complaint. Also, exhaled carbon dioxide tends to remain in some nasal masks
15 with CPAP therapy.

 In prescribing CPAP therapy, it is usually necessary for a patient to spend one
or two nights in a sleep treatment laboratory where it is first determined whether the
patient has a respiratory disorder such as sleep apnea. If so, the patient is then fitted
with a CPAP device whereupon the required gas pressure is determined for providing
20 the necessary air splint to maintain airway patency.

 The required pressure for maintaining patency is usually higher when the
patient is sleeping on his or her back than when sleeping in a side rest position. The
higher pressure is usually prescribed in order to ensure sufficient pressure in all sleep-
ing positions. The higher pressure is not needed, however, in all circumstances. For
25 example, before the patient has fallen asleep and in the early stages of sleep, the
higher pressures are not needed. Additionally, the higher pressures are often not
needed during deep sleep when the patient is in the side rest position. Furthermore, a
given patient may only be subject to sleep apnea under certain conditions such as
30 when the patient is extremely tired or under the influence of alcohol or sleep-
inducing drugs. As a result, the patient is subjected to the discomfort of the high
prescription pressures even when not needed.

35

1 Summary of the Invention

 The inspiratory airway pressure system of the present invention solves the prior art problems as outlined above. More particularly, the preferred system hereof initiates inspiratory nasal air pressure just prior to inhalation in order to provide a pressure splint to offset negative inspiratory pressure and retain the normal position of the genioglossus muscle thereby ensuring an open patient airway, and subsequently reduces the pressure for ease of exhalation. Airflow during this exhalation is primarily the patient's exhalent with desirable humidity.

 The preferred apparatus is adapted for connection with a patient-coupled gas delivery device for pressurizing at least a portion of a patient's respiratory passages, such as the nasal passages, with a breathable gas, preferably ambient air which may be supplemented with oxygen, at a controllable gas pressure. The apparatus includes means for determining a point in the patient's breathing cycle before the onset of an inhalation phase and subsequent to a prior inhalation phase, and further includes gas control means for initiating, at the determined point in the breathing cycle, an increase in the gas pressure toward a selected, and preferably prescribed, high pressure level. The gas control means further controls the gas pressure at the higher level during at least a portion of the inhalation phase and subsequently lowers the gas pressure in order to present a lower pressure level during at least a portion of the subsequent exhalation phase.

 In preferred forms, the apparatus tracks the patient's breathing cycle, thereby determines the end of the exhalation phase of the breathing cycle, and initiates the pressure increase at that point in the breathing cycle. Alternatively, the apparatus determines an interval time as the point in the breathing cycle for increasing the inspiratory pressure as a function of previous breath rates and inhalation and exhalation intervals.

 The apparatus desirably includes a controllable, variable speed blower for supplying ambient air above atmospheric pressure, a nasal pillow for coupling with the patient's nares, a conduit intercoupling the blower and nasal pillow, and a controllable, variably positionable vent valve coupled with the conduit for venting air therefrom. The preferred apparatus also includes a controller operably coupled with the blower and with the vent valve, and a pressure transducer for sensing the patient's nasal air pressure.

35

1 In operation, the controller maintains a set point pressure by varying the
position of the vent valve to vent greater or lesser amounts of air from the conduit in
correspondence with patient exhalation and inhalation. The controller further tracks
the position of the vent valve and thereby tracks the patient's breathing cycle. That is
5 to say, as the patient inhales during the inhalation cycle, the vent valve must close
partially to maintain the pressure of the ambient air as the patient inhales. In this
way, the movement of the valve corresponds to the inhalation of the patient. Simi-
larly, during exhalation at a preferred lower pressure set point, the vent valve must
10 vent greater amounts of ambient air from the conduit which tracks the patient's
exhalation phase. By such tracking, even at different set point pressures, the system
hereof is able to increase the set point pressure predictably prior to the onset of
inhalation, and to subsequently decrease the pressure during the next exhalation
phase.

15 In another aspect of the invention, sounds and pressure variations associated
with a patient's respiratory passages are monitored and the set point pressure of the
gas delivered to the patient's airways is varied in accordance with the monitored
sounds. This aspect of the invention takes advantage of the fact that snoring sounds
typically precede the onset of obstructive sleep apnea. That is to say, sleep apnea and
20 snoring sounds can be considered varying degrees of the same phenomenon in which
the upper airway muscles may progressively relax resulting in vibration of the partially
relaxed air passage, and then may progress to obstruction of the air passage when the
upper airway muscles relax completely. By monitoring airway sounds, and in particu-
lar snoring sounds, the applied pressure can be raised before an apneic event occurs
25 and thereby prevent the occurrence.

Other preferred aspects of the inspiratory airway pressure system hereof are
explained further hereinbelow.

30

35

1 Brief Description of the Drawing Figures

Figure 1 is a plan view of the head of a sleeping patient shown wearing the preferred patient-coupling head gear for use with the present invention;

5 Fig. 2 is a side elevational view of the patient's head and head gear of Fig. 1 shown coupled with the preferred housing cabinet of the dual conduit embodiment of the present invention;

Fig. 3 is a schematic representation of the single-conduit embodiment of the present invention;

10 Fig. 4 is a schematic representation of the dual-conduit embodiment of Fig. 2;

Fig. 5 is an elevational view of the preferred vent valve element in position over the vent ends of the dual-conduit embodiment of Fig. 4;

15 Fig. 6 presents graphical illustrations of a typical breathing cycle including an inhalation phase and an exhalation phase, of the nasal air pressure imposed on the patient's airway during the breathing cycle, and of the vent valve steps required to maintain the set point pressures;

Fig. 7 is an electrical schematic illustration of the microcontroller and associated components of the present invention;

Fig. 8 is an electrical schematic of the blower motor control;

20 Fig. 9 is an electrical schematic of the stepper motor control for the vent valve;

Fig. 10 is a schematic illustration of a pressure transducer circuit;

Fig. 11 is a computer program flowchart illustrating the START-UP portion of the main routine;

25 Fig. 12 is a computer program flowchart of the MAIN LOOP portion of the main routine;

Fig. 13 is a computer program flowchart of the VALVE STEP subroutine;

Fig. 14 is a computer program flowchart of the ADC interrupt;

30 Fig. 15 is a computer program flowchart of the CHECK BLOWER SPEED subroutine;

Fig. 16 is an electrical block diagram illustrating the spectral sound analysis circuit; and

Fig. 17 is a computer program flowchart of the SOUND ANALYSIS subroutine.

1 Detailed Description of the Preferred Embodiments

5 With reference to the drawing figures, Fig. 3 schematically illustrates the single conduit embodiment of the preferred inspiratory airway pressure apparatus 10 which broadly includes an elongated, flexible, hose or conduit 12, nasal pillow 14 connected to one end of conduit 12, vent valve assembly 16 positioned adjacent the opposed, open, vent end of conduit 12, blower unit 18 fluidically coupled with conduit 12 between pillow 14 and vent valve assembly 16, and controller 20 which is adapted for pneumatic connection with nasal pillow 14 and electrical connection with vent valve assembly 16 and blower unit 18.

10 In the preferred embodiment, vent valve assembly 16, blower unit 18, and controller 20 are housed within cabinet 22 such as that illustrated in Fig. 2 in connection with the dual-conduit embodiment. In this regard, conduit 12 presents an interior portion which is housed within cabinet 22 and exterior portion 26 which extends from the cabinet to nasal pillow 14. Conduit 12 additionally presents coupling end 28
15 coupled to nasal pillow 14, inlet end 30 coupled with blower unit 18 for receiving a supply of breathable gas, preferably ambient air therefrom, and vent end 32 positioned adjacent vent valve assembly 16.

20 Nasal pillow 14 is the preferred patient-coupling device and is further illustrated in U.S. Patent No. 4,782,832 which is hereby incorporated by reference. Head gear 34 holds nasal pillow 14 on the head of patient 36 in order to fluidically couple with the respiratory passages of patient 36, and preferably with the patient's nares. Nasal pillow 14 is configured to present pressure sensor fitting 38 which is coupled with controller 20 by pneumatic line 40 which is preferably routed within
25 conduit 12 so that line 40 is conveniently out of the way and less likely to be pinched or restricted by the patient during use of apparatus 10. Nasal pillow 14 also includes vent port 42 defined therethrough which continuously vents a small amount of pressure from nasal pillow 14 in order to prevent moisture buildup and subsequent condensation therein. Port 42 also prevents build up of exhaled gases including
30 carbon dioxide.

35 Vent valve assembly 16 includes stepper motor 44 and valve element 46 connected to the output shaft thereof. Valve element 46 is preferably constructed of a flat plate configured to present two, opposed, arcuate, cam-like edges 48a,b as illustrated in Fig. 5. Element 46 is positioned adjacent vent end 32 of conduit 12 so that as stepper motor 44 rotates valve element 46 in a clockwise direction as viewed in

1 Fig. 5, edge 48a progressively covers and thereby restricts vent end 32. Conversely, as
2 motor 44 rotates element 46 in a counterclockwise direction, edge 48a progressively
3 exposes an increasing area of vent end 32 to vent additionally gas therefrom.

4 Fig. 4 illustrates the dual-conduit second embodiment of preferred apparatus
5 10. This embodiment is similar to that of Fig. 3 and corresponding components are
6 numbered the same. Second embodiment 50 additionally includes exhaust hose 52
7 presenting connection end 54 fluidically coupled to conduit exterior portion 26 at
8 junction 56, and presents exhaust end 58 positioned adjacent valve element 46 in the
9 same opening/closing relationship with arcuate edge 48b as vent end 32 presents to
10 arcuate edge 48a. With this configuration, conduit 12 additionally presents inhalation
11 hose 60 between juncture 56 and blower unit 18. In the dual hose model, nasal pillow
12 14 does not include vent hole 42, and the tube between ends 54 and 28 include divider
13 61 to separate it into two separate passages. Second embodiment 50 may also include
14 inhalation check valve 62 disposed within inhalation hose 60 adjacent juncture 56, and
15 exhalation check valve 64 disposed within exhaust hose 52 also adjacent juncture 56.
16 Inhalation check valve 62 prevents passage of patient exhalation therethrough toward
17 vent end 32 and thereby requires that the patient's exhalation exit the system through
18 exhaust end 58. Pneumatic lines 66 and 68 respectively couple controller 20 with
19 inhalation hose 60 and exhaust hose 52.

20 By way of overview, controller 20 controls apparatus 10 in order to increase
21 the gas pressure presented to the patient at a time in the patient's breathing cycle just
22 prior to inhalation, and to subsequently lower the pressure for ease of exhalation.
23 The upper graph of Fig. 6 illustrates a typical breath cycle air flow. During inhalation,
24 the flow rate of gas to the patient gradually increases to a maximum and then decreases.
25 At the end of inhalation, the patient typically experiences a slight pause before
26 exhalation begins. During exhalation, the exhaled gas flow from the patient gradually
27 increases to a maximum and then decreases again. A post-exhalation pause, typically
28 somewhat longer than the post-inhalation pause, follows exhalation. After the post-
29 exhalation pause, the patient again then begins inhalation.

30 The middle graph of Fig. 6 illustrates the nasal airway pressure presented to
31 patient 36 during operation of apparatus 10. With patients subject to sleep apnea, it
32 is desirable to increase nasal airway pressure just prior to inhalation to splint airway
33 pressure in order to position genioglossus tissue and thereby maintain the airway
34 open. Accordingly, this middle graph illustrates an increase in the nasal airway
35 pressure.

1 pressure just prior to inhalation to a selected prescription pressure level sufficient to
push surrounding tissue aside and open this airway. After completion of inhalation,
the set point pressure presented to the nasal airway is reduced so that exhalation
5 occurs against a low or even zero pressure level relative to ambient. At the end of
exhalation, the nasal airway pressure is again increased prior to the next inhalation
phase.

To accomplish these pressure variations, blower unit 18, in one embodiment
of the invention, produces a generally constant volume per unit time of breathable gas
which is selectively vented through vent end 32. The vented gas volume is controlled
10 by vent valve assembly 16.

The bottom graph of Fig. 6 graphically depicts the various positions of valve
element 46 in relation to vent end 32 in order to achieve the desired nasal airway
pressure profile illustrated in the middle graph. For example, during the post-exhala-
15 tion pause, controller 20 activates stepper motor 44 to rotate valve element 46 in a
clockwise direction (as viewed in Fig. 5) in order to increase the nasal airway pressure
to the desired set point as sensed by controller 20 by way of pneumatic line 40. When
the patient begins to inhale, gas output from blower unit 18 is inhaled by the patient.
In order to maintain the set point pressure, the controller then rotates valve element
20 46 in stepwise fashion further in the clockwise direction to reduce the amount of gas
being vented. As inhalation passes its peak flow rate, controller 20 begins to reverse
the position of valve element 46 to vent additional gas for maintaining the set point
pressure.

At the end of inhalation, a lower pressure set point is desired and controller
25 20 continues, in stepwise fashion, to rotate valve element 46 in the counterclockwise
direction to vent additional amounts of gas for achieving a new lower set point
pressure.

At the end of the post-inhalation pause, the patient begins to exhale. In
order to maintain desired lower set point pressure, the additionally exhausted gas from
30 the patient must be vented through vent end 32. Accordingly, controller 20 causes
valve element 46 to further rotate in a clockwise direction to open vent end 32 even
further. As the exhalation flow rate decreases, controller 20 rotates valve element 46
in a clockwise direction to decrease venting in order to maintain the lower set point
pressure. At the end of exhalation, controller 20 then causes valve element 46 to
35 rotate further in the clockwise direction to increase the pressure to the higher

1 pressure set point. This induces tension in the genioglossus muscle to open the airway
in preparation for the next inhalation phase.

Inspection of the upper and lower graphs reveals a similarity in the profile of
the curves. That is to say, controller 20 is able to track a patients breathing cycle by
5 tracking the stepped positions of valve element 46 required to maintain the set point
pressures. In this way, controller 20 is able to determine the end of respective inhala-
tion/exhalation phases and to predict exhalation and inhalation interval times.

Turning now to controller 20, it provides electrical outputs to control the
speed of blower unit 18 and the position of stepper motor 44. Controller 20 receives
10 electrical feedback from blower unit 18 indicative of the speed thereof, and a pneu-
matic input by way of pneumatic line 40 to indicate the pressure at nasal pillow 14
and thereby in the patient's nasal airway passages.

Controller 20 includes pressure transducer circuit 700 (Fig. 7) for providing an
electrical input indicative of the pressure at nasal pillow 14 to microcontroller circuit
15 800 (Fig. 8) which in turn provides outputs to blower motor circuit 900 (Fig. 9) and
stepper motor circuit 1000 (Fig. 10). Additionally, controller 20 includes a conven-
tional 120 v.a.c. to +5 v.d.c., +12 v.d.c., and +24 v.d.c. power supply (not shown)
suitable for digital and analog, solid state integrated circuit components.

20 Pressure transducer circuit 700 illustrated in Fig. 7 is typical of the pressure
transducer circuit for both the single and dual conduit embodiments of the present
invention. That is to say, the single conduit embodiment of Fig. 3 uses only one
pressure transducer whereas the embodiment schematically illustrated in Fig. 4 uses
two pressure transducers both using a circuit as illustrated in Fig. 7.

25 The preferred pressure transducer includes SENSYM type SX01DN having a
zero-to 70-cm. water operational range. The preferred transducer includes four strain
gages arranged in a conventional Wheatstone bridge 701 having strain gages X1, X2,
X3, and X4 presenting a nominal 4650 ohms each. Bridge 701 presents excitation
terminal 702 connected to +12 v.d.c. and an opposed excitation terminal 704 connect-
30 ed to ground as shown. Bridge 701 produces outputs at terminals 706 and 708. Zero
adjustment potentiometer 710 interconnects terminals 704 and 706.

The output from terminal 708 is connected to the positive input terminal of
operational amplifier 712 (one-half of Type LT1014). The output of operational
35 amplifier 712 provides feedback to the negative input terminal thereof, and, by way of

1 resistor R1 (1K ohms) supplies the positive input terminal of amplifier 714. The output is also connected to ground by way of resistor R2 (750K ohms).

5 Strain gage bridge output terminal 706 is connected to the positive input terminal of operational amplifier 716 (the other half of unit LT1014). The output from amplifier 716 provides feedback to the negative input terminal thereof and is connected by way of resistor R3 (1K ohms) to the negative input terminal of amplifier 714.

10 The output from amplifier 714 provides feedback to the negative input terminal thereof by way of resistor R4 (750K ohms). The output from amplifier 714 is also connected by way of resistor R5 (X ohms) to output terminal 718 which, by way of the circuitry just described, provides output between 0 and +5 v.d.c. corresponding to a pressure of 0 to 25 cm. water.

15 A similar output is provided at a corresponding terminal 720 if a second pressure transducer is used. In the dual-conduit embodiment, two transducers provide additional pressure information which allows more precise tracking of inhalation and exhalation gas flows of the patient, and thereby more precise breath cycle tracking.

20 Fig. 8 is an electrical schematic diagram of microcontroller circuit 800 which includes microcontroller 802 (Intel Type 8097BH), programmable array logic (PAL) (Type PC16L8), erasable, programmable, read-only-memory (EPROM) (Type 27256), address latch 808 (Type 74HC373), random access memory (RAM) (Type 6264P), input/output serial data interface (RS232 Type MAX232), prescription (RX) switch array 814, and input data latch 816.

25 Microcontroller 802 receives power (Vcc) at +5 v.d.c. at terminals VCC, VPD, BW, RDY, VPP, and VREF as shown. Ground is connected to terminals NMI, VSS, EA, and ANGND. Crystal 802 is coupled between terminals XTAL1 and XTAL2 as shown and to which respective grounded capacitors C1 and C2 (33 pF each) are respectively coupled for timing signals at 12 MHZ.

30 Microcontroller 802 receives a reset signal at terminal RESET from reset sub-circuit 820. On power up, power is supplied through resistor R5 (100K ohms) to grounded capacitor C3 (22 uF) and to the input terminals of SCHMITT trigger NAND gate 822. Initially, the resultant input voltage to NAND 822 is low, and its output is logic high. This logic high output is supplied to output terminal 824 which provides a reset signal to blower motor circuit 900 as discussed further hereinbelow.
35 The initially logic high output from NAND 822 is inverted by inverter 826 to provide

1 a logic low signal to microcontroller terminal RESET which holds microcontroller 802
in reset until the charge on capacitor C3 builds to the trigger level of NAND 822.
This provides time for the system to initialize and for transients to be suppressed. As
the charge on capacitor C3 increases to the trigger level, the reset signal is removed from
5 output terminal 824 and microcontroller 802. The output from inverter 826 is also
connected to one side of pull-up resistor R6 (10K ohms) the other side of which is
connected to Vcc.

Reset circuit 820 also includes a normally open, reset switch 828 coupled
across capacitor C3 which allows manual reset. Diode D1 is coupled across resistor
10 R5 to provide a discharge path for C5 in the event of power off.

Microcontroller 802 also receives a pressure transducer input at terminal
ACH0 and also at ACH1 if a second transducer is used as in the dual-conduit
embodiment. To provide transient suppression, and to smooth the analog voltage
from pressure transducer circuit 700, one side of capacitor C4 (.005 nF) is connected
15 to terminal 718 along with the anode of diode D2 and the cathode of diode D3. The
other side of capacitor C4 and the anode of diode D3 are connected to ground as
shown and the cathode of diode D2 is connected to a supply voltage Vcc. An
identical circuit is provided for terminal 720 using diodes D4, D5 and capacitor C5.
20 Microcontroller 802 includes internal analog-to-digital converters (ADC) which
receive the respective analog inputs at terminals ACH0 and ACH1 and convert these
to digital form for internal use in microcontroller 802.

Microcontroller 802 also receives an input at terminal HS1.0 which is a pulse
signal from blower motor circuit 900 representative of the speed of blower unit 18,
25 discussed further hereinbelow.

Microcontroller 802 also uses a common address/data bus 830 which intercon-
nects microcontroller 802 for data and address information flow with PAL 804,
EPROM 806, address latch 808, RAM 810, and data latch 816 at the terminals as
shown in Fig. 8. Fig. 8 also illustrates the other conventional interconnections
30 between these components as shown.

Microcontroller 802 provides a serial data output from terminal TXD to
terminal 11 of interface 812 and receives data from terminal 12 thereof at
microcontroller terminal RXD. Interface terminals 14 and 13 receive RS232 data in
and out which enable remote reading and control of microcontroller 802 and thereby
35

1 apparatus 10. This feature is particularly useful in a sleep laboratory, for example, for adjusting the prescription pressures in order to achieve the optimal therapy.

Switch array 814 includes eight, selectable switches for providing input data representative of the desired prescription set point pressures for inhalation and exhalation. In particular, the top four switches are used to set the prescription inhalation pressure and the bottom four switches for prescription exhalation pressure. With four switches for each set point, sixteen possible settings are available ranging between 3 and 16 cm water for inhalation, and 0 and 14 cm water for exhalation. Data latch 816 is coupled with switch array 814 as shown and latches the prescription data upon receipt of the latch signal from terminal 12 of PAL 804. The prescription data is transmitted over bus 830.

Microcontroller 802 also provides two additional outputs. The first of these is data to stepper motor circuit 1000 by way of six-line output bus 832 from microcontroller terminals P1.0-1.5 to output terminal 834. The second additional output is a pulse-width modulated signal (PWM) to blower motor circuit 900 by way of line 834 and output terminal 836.

Fig. 9 is an electrical schematic diagram representing blower motor circuit 900 which receives the pulse width modulated signal at terminal 836 from microcontroller 802, and also receives an inverted reset signal at terminal 824 from reset circuit 820. Blower motor circuit 900 also provides a pulse output signal at terminal 902 representative of the speed of blower motor 904 to microcontroller 802.

The reset signal received at terminal 824 is connected to terminal 10 of motor driver 906 (Type UC3524A). The pulse width modulated signal from controller 802 at terminal 836 is provided to terminal 2 of driver 906 by way of low pass filter C6 (1.0 uF) and resistor R7 (24.9K ohms).

Driver terminal 7 is connected to ground by way of capacitor C7 (.003 uF), and terminal 6 is connected to ground by way of resistor R8 (49.9K ohms). Terminal 8 is connected to ground and terminal 15 receives power supply at +12 v.d.c. Driver terminal 12, 13, and 16 are connected to Vcc at +5 v.d.c.

Motor driver 906 converts the input pulse-width modulated signal at 0-5 v.d.c. to a corresponding output at 0 to +12 v.d.c. at terminals 11 and 14 thereof to programmable array logic (PAL) (Type 16L8) terminal 1. These terminals are also connected to ground by way of resistor R9 (0.5 ohms). PAL 908 produces respective outputs at terminals 19 and 18 as two phases for the stator and rotor of brushless D.C.

1 blower motor 904 (Fasco Corp. Type 70000-S517). The PAL 908 outputs are respec-
tive inputs to level converters 910 and 912 (MC14504) which shift the voltage level
from +5 to +12 v.d.c. The +12 v.d.c. outputs from level converters 910 and 912 are
5 in turn transmitted to the respective gates of field effect transistors (SENSFET)
(Motorola SENSFET Type MTP40N06M) 914 and 916. The respective drain termi-
nals of SENSFETS 914 and 916 are respectively connected to terminals 0A and 0B of
blower motor 904 and provide the respective phase inputs to the stator and rotor
thereof.

10 Power at +12 v.d.c. is additionally provided to level converters 910 and 912
and to common power terminal CP of blower motor 904.

The source terminal of each SENSFET 914, 916 is connected to ground as
shown.

15 SENSFETS 914,916 each include an additional pair of outputs on lines 918
and 920 which provide a sampling of the current flow through the respective
SENSFETS. These outputs are coupled across resistor R10 (100 ohms) to provide a
current path for the current sample, and thereby a voltage representative thereof to
terminals 3 and 4 of motor driver 906. Driver 906 is responsive to this input voltage
representative of the current flow through blower motor 904 to reduce the duty cycle
20 of the output at terminals 11 and 14 in the event of motor overcurrent.

Blower motor 904 is additionally equipped with Hall effect transducer which is
operable to provide a voltage pulse each time a magnetic pole of the motor stator
passes thereby. These output pulses represent the speed of motor 904 and are
provided at motor terminal HALL by way of line 922 to output terminal 902, and as
25 feedback to motor driver 906. The output pulses representative of motor blower
speed at terminal 902 are provided to microcontroller 802 at terminal HS1.0 thereof.

The pulses representative of motor blower speed are converted to a represen-
tative voltage before input to motor driver terminals 1 and 9. As shown in Fig. 9, line
922 is connected to one side of capacitor C8 (0.01 uF) the other side of which is
30 connected to one side of resistor R11 (10K ohms), and to the anode of diode D6.
The other side of resistor R11 is connected to ground.

The cathode of diode D6 is connected to one side of grounded capacitor C9
(0.1 uF), to grounded resistor R12 (1M ohms) and to one side of resistor R13 (100K
ohms). The other side of resistor R13 is connected to one side of capacitor C10 (0.22
35 uF), to one side of resistor R14 (10M ohms), and to motor driver terminal 1 as input

1 thereto. The other side of capacitor C10 and resistor R14 are connected to driver terminal 9.

5 This network of components C8-C10, R11-R14, and diode D6 convert the frequency pulses on line 922 to a voltage representative thereof. That is to say, this network acts as a frequency-to-voltage converter owing to the large capacitance of capacitor C9 (0.1 uF) which provides a long time constant. The voltage value provided at motor driver terminals 1 and 9 provides feedback to an internal comparator which compares the voltage to a set point derived from the pulse width modulated signal received at terminal 2.

10 Fig. 10 illustrates stepper motor circuit 1000 which activates stepper motor 44 to position valve element 46 in accordance with data received from microcontroller 802 at terminal 834 therefrom. Stepper motor 44 is preferably a VEXTA model available from Oriental Motor Company and is capable of providing one revolution in 400 "steps" and is also capable of half-stepping if needed. As those skilled in the art will appreciate, motor 44 is operable to shift one step upon the imposition of the next sequential voltage step pattern provided as input at terminal 834 over output bus 832. In particular, bus 832 includes six lines, which are pattern data for the driver chip.

15 The step pattern data is provided to step motor driver chip 1002 (Type S'GS' L298N) at terminals A, B, C, and D respectively from terminals P1.0-1.3 of microcontroller 802. Driver 1002 shifts the input data voltage from +5 v.d.c. to +12 v.d.c. for corresponding output at terminals 2, 3, 13, and 14 which are connected to stepper motor 44 to impose the step pattern thereon at +12 v.d.c. The anodes of diodes D7, 8, 9, and 10 are connected to the respective four output lines of driver 1002, and the cathodes thereof are connected to +12 v.d.c. for voltage pull-up. Correspondingly, the cathodes of diodes D11, 12, 13, and 14 are connected respectively to the output lines, and the respective diode cathodes connected to ground as shown for voltage pull-down.

20 As shown in Fig. 10, +5 v.d.c. is provided at driver terminal 9, +12 v.d.c. at driver terminal 4, and terminals 1, 8, and 15 are all connected to ground.

Figs. 11-14 are computer program flowcharts illustrating the operative program for microcontroller 802.

25 Fig. 11 illustrates the START-UP portion of the main routine of the computer program for operating microcontroller 802. After the logic low reset signal goes logic high, the program enters at step 1102 which prompts controller 20 to shift vent

1 valve assembly 16 to its "home" position. In particular, this step prompts
microcontroller 802 to produce data of sequential pattern outputs by way of line 832
and terminal 834 to stepper motor control circuit 1000. This shifts stepper motor 44
to a mid-range position wherein valve element 46 blocks conduit ends 32 and 58 about
5 half-way as shown in Fig. 5, or conduit end 32 alone in the single conduit embodiment.
Step 1102 also initializes the variables, counters, interrupt routines, and so
forth in the program.

The program then moves to step 1104 to read the inhalation and exhalation
prescription pressure values as set on switch array 814 and read by way of address data
10 bus 830. These values are then stored in RAM. Step 1104 also prompts micro-
controller 802 to set the operating speed of blower motor 904 in accordance with the
prescription of pressure set on switch 814. The blower speed should be set at a level
fast enough to ensure that sufficient ambient air volume is provided to conduit 12
such that the prescription pressure level can be attained during maximum inhalation.
15 Blower motor speed data corresponding to prescription settings are stored preferably
in a look-up table. Step 1104 also clears any value stored in the internal buffer at
microcontroller terminal HS1.0.

The program then moves to step 1106 which enables the program's timed
20 interrupts to begin timing.

In step 1108 the program sets the software flag "phase" equal to inhalation "I"
which initializes the program from the inhalation phase of the patient's breathing
cycle. This step also initializes the blower check counter at zero. As discussed further
hereinbelow, the program reads the blower speed after 128 passes through the main
25 loop.

The program then moves to step 1110 which starts the internal analog-to-
digital converter (ADC) connected to microcontroller input terminals ACH0 and
ACH1.

Step 1112 sets the pressure set point for the inhalation phase according to the
30 inhalation prescription value set on switch array 814 according to data in a look-up
table. This step also defines the start-up mode of the apparatus as continuous positive
airway pressure (CPAP). That is to say, and as explained further hereinbelow, the
program operates apparatus 10 in order to present a continuous positive pressure at
the inhalation set point pressure for the first eight breaths of a patient. Step 1112
35

1 also initializes the breath counter at zero in preparation for counting patient breathing cycles.

5 After completion of step 1112 the program moves to MAIN LOOP 1200 of the main routine as illustrated in Fig. 12. Step 1202 is the first step of this routine in which the program calculates the average pressure as sensed by pressure transducer 701 over eight ADC conversions. That is to say, microcontroller 802 includes an internal "ring" buffer which stores the eight most recent pressure readings received at microcontroller terminal ACH0 (and also ACH1 in the two-conduit embodiment). As discussed further hereinbelow, ADC interrupt routine converts the input analog values to digital form every 22 microseconds and continuously stores the most recent digital values in the ring buffer. Step 1020 calculates the average value by dividing the cumulative buffer value by eight. Step 1202 also calculates the deviation, that is, error, in the average pressure from the pressure set point.

15 The program then moves to step 1204 which asks whether the magnitude of the error calculated in step 1202 is greater than allowed maximum error. This provides a so-called "dead band" to prevent the system from "hunting".

20 If the answer in step 1204 is yes, the program moves to step 1206 and calculates the number of steps and direction of stepper motor 44 required to correct the pressure deviation error. That is to say, depending upon the volume of air being produced by the blower, the fluid capacity of the system, and the leakage therefrom, the number of required steps can be determined approximately by reference to data previously stored in a look-up table.

25 The program then moves to step 1208 to execute routine "VALVE STEP" illustrated in Fig. 13 and discussed further hereinbelow. VALVE STEP routine 1300 sequentially presents the data patterns required to step the valve for the required number of steps in the direction determined in step 1206.

30 After execution of sub-routine 1300 or after step 1204, the program returns to step 1210. This step stores the number of valve steps and direction actually implemented in an internal valve slope buffer which continuously stores the previous eight movements of stepper motor 44. With this information, the slope of valve movement can be calculated by dividing the valve slope buffer sum by eight. This represents a

35

1 slope because the eight values are stored at equal time intervals and thus the buffer sum divided by eight represents the first derivative of value movement.

For example, and referring to Fig. 6, after the post-exhalation pause, and after achieving the desired set point pressure, no significant error in pressure versus set point exists. Thus, no change in the value position is required and so the previous
5 eight value steps would equal zero, indicating a slope of zero, which is indicated by the flat portion of the valve position curve in Fig. 6. In contrast, when the patient begins to inhale, the valve position must initially and quickly shift toward the closed position to maintain the pressure in conduit 32. With a number of positive steps executed on
10 stepper motor 44, the values stored in the slope buffer indicate a high positive slope. Conversely, near the end of inhalation, the valve must execute a number of steps in the negative direction in order to maintain the pressure in conduit 32 indicating a large negative slope. This slope information, as is discussed further hereinbelow, is used to determine various points in the breathing cycle of a patient.

15 The program then moves to step 1212 which asks whether the phase flag is set for exhalation. The program was initialized with the phase flag set for inhalation, and so, during the first few passes through main loop 1200, the answer in 1212 is no and the program moves to step 1214 which asks whether the phase flag is set for inhalation. Because this flag is initialized as inhalation, the answer in step 1214 is yes and
20 the program moves to step 1216.

Step 1216 asks whether the variable "timer counter" is greater than the value for variable "inhalation end time", and whether the slope as calculated in step 1210 is less than or equal to -5. The variable "timer counter" (TMR CNT) is a software
25 counter which was initialized at zero and increments every 13 milliseconds. The variable "inhalation end time" was initialized at a default value representing inhalation time equivalent to a predetermined average value. As discussed further hereinbelow, the variable "inhalation end time" is recalculated for each breath cycle after an initial eight passes through main loop 1200. Step 1216 operates to determine whether suffi-
30 cient time has passed for normal inhalation to be complete as additionally confirmed by the value slope being less than -5 as illustrated by the slope of the value position curve at the end of inhalation in Fig. 6.

During the first few passes through main loop 1200, the answer in step 1216 is no and the program moves to step 1218 which asks whether the blower check counter,
35 initialized at zero, is equal to 128. Until then, the answer in step 1218 is no and the

1 program moves to step 1220 to increment the blower check counter. The program
then loops back to step 1202 and repetitively executes steps 1202-1220 until the
answer in step 1218 is yes whereupon the program moves to step 1222 to execute the
sub-routine "CHECK BLOWER SPEED" 1200 as illustrated in Fig. 15. As discussed
5 further hereinbelow, this step monitors the blower speed to ensure that it is running
at the set point speed initially set in step 1104 in accordance with prescription
settings. The program then returns to step 1224 to reset the blower check counter at
zero.

10 After sufficient time has elapsed to exceed the default time set for the
inhalation end time, and when the slope of the valve position curve is equal to or less
than -5 indicating the end of patient inhalation, the answer in step 1216 is yes and the
program moves to step 1218 which asks whether the mode of operation is set for
inspiratory nasal air pressure (INAP). This was initialized in the CPAP mode in step
1112. During the first eight breathing cycle, the answer in step 1226 is no, and the
15 program moves to step 1228 which asks whether the breath counter is less than or
equal to eight. The breath counter was initialized at zero and during the first pass of
the program the answer in step 1220 is yes, and the program moves to step 1230 to
increment the breath counter.

20 The program then moves to step 1232 which sets the variable "cycle time"
equal to the current value existing on the timer counter. This step is entered at the
end of each inhalation phase and marks the end of one breath cycle and the beginning
of another. Thus, the time of one breath cycle, that is, cycle time, equals the time
value existing on the timer counter which is reset to zero at the end of each breath
25 cycle, also in step 1232.

Step 1232 also sets a new inhalation interval time equal to the new cycle time
divided by three. Statistically, inhalation time averages about 40% of a typical
breathing cycle. Step 1232, however, sets the inhalation interval equal to 33% of the
most recent cycle time in order to ensure that this value clocks out in step 1216 early,
30 that is, before the end of anticipated actual inhalation time.

Step 1232 also sets the variable "inhalation start time" equal to the new cycle
time divided by two. With the beginning of a cycle marked as the end of an inhalation
phase, the next inhalation start time would normally be expected to occur after 60% of
the cycle time has elapsed. Step 1232, however, sets inhalation start time at 50%, that
35

1 is earlier than the predicted inhalation time in order to ensure an increase in nasal pressure before inhalation would be expected to begin.

After main loop 1200 has detected eight breath cycles as indicated on the breath counter, the answer in step 1228 is no and the program moves to step 1234
5 which sets the operating mode as INAP. The eight cycle delay in setting the INAP mode ensures reliable data in tracking the breath cycle.

With the mode now set as INAP, the answer during the next pass at step 1226 is yes and the program moves to step 1236 to set the pressure set point equal to the exhaust prescription. That is to say, an inhalation phase has ended as determined in
10 step 1216, eight breaths have been tracked as determined in step 1228, the mode is set as INAP which allows a decrease in pressure during exhalation. With these conditions satisfied, the controlled pressure set point is lowered to the prescribed exhaust prescription set point.

Normally, the exhaust pressure would be prescribed at zero, that is ambient,
15 so that the patient can exhale normally. In some circumstances, however, the therapist may desire a slight positive pressure during exhalation which is set on the lower four switches of switch array 814 (Fig. 8).

Step 1236 also sets the phase flag for exhalation.

During the next pass through main loop 1200, the answer in step 1212 is now
20 yes, that is, the phase is "exhalation", and the program moves to step 1238 which asks whether the current value on the timer counter is greater than or equal to the inhalation start time as previously set in step 1232. In the alternative, step 1238 asks whether the valve position slope is greater than seven which independently indicates
25 the end of exhalation. With reference to Fig. 6, at the end of exhalation, the valve must step in the positive direction rapidly in order to restrict vent end 32 for maintaining the set point pressure. This rapid change indicates a positive slope greater than 70.

If the answer in step 1238 is no, the program continues to loop through until
30 the answer is yes at which time the program moves to step 1240 to set the phase flag for inhalation, to set the pressure set point at the inhalation prescription value, and to set the value for the variable "inhalation end time" equal to the currently existing timer count plus the inhalation interval time. The existing value of the timer counter corresponds to the time elapsed since the beginning of the current breath cycle, which
35 marked the end of the previous inhalation phase. The inhalation phase about to

1 begin should end on or after the current timer count value plus the inhalation interval
time. Thus, step 1240 provides a new value for inhalation interval time for use in step
1216. Normally, this value is reached before the end of the actual inhalation and is
5 used to ensure that a transient slope reading does not erroneously mark the end of the
inhalation phase. Thus the requirement in step 1216 for both the expiration of the
inhalation end time and a slope less than or equal to -5.

As those skilled in the art will appreciate, step 1238, in cooperation with the
balance of the operating program, ensures that the inhalation set point pressure
increases before the onset of patient inhalation. First, by monitoring whether the
10 valve position slope exceeds seven, the end of exhalation can be detected. Marking
the end of an exhalation phase ensures that this is a point in the breath cycle prior to
the beginning of the next inhalation phase. Additionally, an increase in the pressure
prior to inhalation is assured by monitoring whether the timer counter is greater than
15 or equal to the predicted inhalation start time in step 1238. Thus, if a sporadic or
erroneous slope reading were determined, an increase in nasal pressure would still be
ensured prior to inhalation when the timer counter excess the predicted inhalation
start time, recalling that the inhalation start time was set in step 1232 somewhat
shorter than the expected start time.

20 Fig. 13 illustrates VALVE STEP sub-routine 1300 which operates to impose
sequentially the required step patterns on stepper motor 44 by way of stepper motor
circuit 1000. Sub-routine 1300 enters at step 1302 by setting the variable "final valve
position" equal to the current valve position plus (or minus) the valve correction
required as determined in step 1206 (Fig. 2). Step 1302 also sets the variable "valve
25 position" equal to the current valve position.

The program then moves to step 1304 which asks whether the correction
direction is greater than zero, that is, in a positive direction to restrict vent end 32, or
in the opposite direction. If the answer in step 1304 is yes, the program moves to step
1306 which asks whether the final position as determined in step 1302 exceeds step
30 160. That is to say, this step determines whether the requested or desired final valve
position is beyond the maximum allowed position. If yes, the program moves to step
1308 which sets the final valve position equal to 160.

If the answer in step 1306 is no, or after step 1308, the program moves to step
35 1310 to set the variable "valve position" equal to "valve position" plus one. In other

1 words, the program increments stepper motor 44 one step at a time until the final position is achieved.

The program then moves to step 1312 which asks whether the new valve position is less than or equal to the final valve position as determined in step 1302. If
5 no, which indicates that the desired final valve position has been achieved, the program returns to main loop step 1210.

If the answer in step 1312 is yes, indicating that the final valve position has not yet been achieved the program moves to step 1314 which retrieves the step pattern for the next blower motor step from memory. The program then activates the lines of
10 bus 832 in order to send this step pattern to stepper motor circuit 1000 and thereby to stepper motor 34.

The program then loops back to step 1310 to continue executing step patterns one at a time in sequence until the final position is obtained.

If the rotational direction for correction requires is negative as determined in
15 step 1304, the program moves to steps 1316-1324 as illustrated to execute the required number of stepping patterns to shift the valve in the "negative" direction to reduce pressure by venting more air. Step 1316 asks whether the final position determined in step 1302 is less than zero indicating a valve position beyond the allowable limits of travel. If yes, the program sets the final position equal to zero in step 1318.
20

Step 1320 then decrements the "valve position" variable and step 1322 asks whether the newly determined "valve position" is greater than or equal to the final position desired. If yes, the step moves to program 1324 and then loops back to step 1322. If the answer is step 1322 is no, the program returns to main loop step 1210.

25 Fig. 14 illustrates ADC interrupt sub-routine 1400 which has its interrupt executed every X micro-seconds for providing an analog-to-digital conversion for the pressure data received from pressure transducer circuit 700, and to store this data in memory. Subroutine 1400 enters at step 1402 which retrieves the current data from the ADC register internal to microcontroller 802. This data is then stored in the
30 ADC buffer for use in step 1202 (Fig. 12) of the main loop. This data is stored at location "L" which is one of the eight buffer locations. The program then moves to step 1404 to increment location variable "L" so that the next set of ADC data is placed in the next buffer location. The program then moves to step 1406 which asks whether
35 "L" is equal to eight which is greater than the number of locations provided in the ADC buffer. If yes, the program resets "L" at location zero which is the first location

1 in the buffer. After step 1408, or if the answer in step 1406 is no, the program moves to step 1410 which instructs the ADC to begin another data conversion. The program then returns from the interrupt to the main loop.

5 Fig. 15 illustrates CHECK BLOWER SPEED subroutine 1500 which is entered from step 1222 of main loop 1200, and enters at step 1502 which reads the current blower speed as received at microcontroller terminal HS1.0 from the Hall effect transducer in blower motor 94. The program then moves to step 1504 which retrieves the blower speed set point corresponding to the prescription inhalation pressure and compares the set point to the sensed lower speed. The program then moves to step 1506 which asks whether the blower speed is within a maximum error range of the set point speed. If no, the program adjusts, in step 1508, the pulse-width of the pulse width modulated signal produced at microcontroller terminal PWM and transmitted to blower motor circuit 900. After step 1508, or if the answer in step 1506 is yes, the program returns to the main loop.

15 Figs. 16 and 17 illustrate another aspect of the invention in which patient airway pressure variations and, in particular, airway sounds are monitored and the patient airway pressure controlled in response. In particular, Fig. 16 is an electrical block diagram illustrating sound analysis circuit 1600 which receives input from pressure sensor circuit 700 by way of terminal 718 thereof, and which delivers outputs to microcontroller 802. As those skilled in the art will appreciate, sounds are pressure variations and as such, preferred pressure sensor circuit 700 is also operable for sensing pressure variations representative of airway sounds and in converting these variations into representative signals at terminal 718.

20 The signals from pressure sensor circuit 700 are delivered to preamplifier 1602 which boosts the signal level for delivery to low-pass filter 1604, band-pass filter 1606, band-pass filter 1608, and high pass filter 1610. Low-pass filter 1604 is included to provide output "DC" to microcontroller 802 indicative of low frequency (subaudio) pressure variations and nasal pressure.

25 Filters 1606-10 split the audio frequency spectrum into three components: 10-200 Hz., 200-800 Hz., and 800+ Hz. respectively. The outputs from filters 1606-10 pass through respective rectifiers 1612, 1614, and 1616 which in turn provide rectified outputs to low-pass filters 1618, 1620, and 1622. Low-pass filters 1618-22 convert the respective rectified inputs to equivalent D.C. voltage outputs "LOW", "MED", and "HI" which represent the respective audio spectral components. These three outputs along

1 with output "DC" are provided as inputs to microcontroller 802 which uses internal
analog-to-digital conversion to produce digital data representative of the three
spectrum components.

5 Fig. 17 is a computer program flowchart of SOUND ANALYSIS subroutine
1700 which is advantageously included as part of the program for operating the
microcontroller 802 in connection with the pressure variation aspect of the invention.
Subroutine 1700 enters at step 1702 which initiates analog-to-digital conversion of the
analog inputs "DC", "LOW", "MED", "HI" received from circuit 1600. In the preferred
embodiment, step 1702 is implemented a number of times (for example, ten times) for
10 each inhalation and the conversion values averaged. The average values of the digital
representations of DC, LOW, MED and HI are then used for steps 1706-1716 as
discussed further hereinbelow.

15 The program then moves to step 1704 which sets the software variable "old
state" (OS) equal to the variable "new state" (NS) determined in the previous passes
through the program. This step then sets variable NS equal to zero.

20 In step 1706 the program asks whether input "DC" is greater than a predeter-
mined threshold value. This threshold value is set at a level sufficient to indicate that
detectable airway sounds are occurring. If the answer is no, the program returns to
the main loop. If yes, the program moves to 1708 in which, along with subsequent
steps, conducts a spectral analysis of the airway sounds as determined by circuit 1600.
In particular, step 1708 asks whether input LOW is of a predetermined threshold. If
yes, the program moves to step 1710 which increments variable NS by 1.

25 If the answer in 1710 is no, or after step 1710, the program moves to step
1712 which asks whether input MED is above its associated threshold. If yes, the
program moves to step 1714 which increments variable NS by 2.

30 If the answer in step 1712 is no, or after step 1714, the program moves to step
1716 which asks whether input HI is greater than its predetermined threshold. If yes,
then step 1718 increments variable NS by 4.

35 If the answer in step 1716 is no, or after step 1718, the program moves to step
1720. Step 1720 calculates the variable "transition" (T) as a function of variables OS
and NS as shown in Fig. 17. Variable T provides a spectral quantification of the
airway sounds for use in determining which action, if any, should be taken concerning
the increase or decrease of the gas pressure applied to the respiratory passages of the
patient. This determination occurs in step 1722 by use of a so-called "action table"

1 which is a look-up table stored in memory using variable T as a pointer. The preferred action table is incorporated as part of the disclosure hereof as Appendix I attached hereto.

5 Upon determining the proper action including increase, decrease, or maintain pressure from the action table, the program moves to step 1724 which executes that action. In the preferred embodiment, action-designated changes in pressure are in increments of 1.0 cm. water pressure.

10 If the action determined in step 1722 is "none", which indicates that snoring sounds are not occurring, it is preferred in step 1724 that the patient-applied the pressure be decreased by 0.5 cm. water. In this way, the program assures that the pressure is not maintained at a level greater than that necessary. For example, if the detected airway sounds prompts an increase in pressure, and the airway sounds then disappear, it may be that the pressure was increased slightly more than necessary. Accordingly, the program will automatically decrease the pressure over time in small
15 increments until airway sounds are again detected.

20 The aspect of the present invention described above in connection with Figs. 16 and 17 monitors airway sounds in the preferred embodiment. It will be appreciated, however, that pressure transducer circuit 700 is sensitive to many types of pressure variations other than those associated with airway sounds. For example, circuit 700 could be used to detect inaudible vibrations or pressure variations associated with exhalation and inhalation. With this capability, much information can be garnered about a patient's respiration such as whether the patient's respiration is rhythmic, erratic, or apneic as well as breath rate, inhalation and exhalation durations, and flow
25 rates. Hence, with this capability the patient's respiration can be properly characterized and aspects of the respiration quantified.

30 Furthermore, this information can be stored in memory for subsequent downloading for use by a physician, for example, in diagnosing respiratory afflictions and efficacy of treatment. In this way the expense and time consumed in sleep lab facilities is avoided or at least minimized. Additionally, patient comfort is enhanced because only the minimum required pressure is imposed both during sleep and before the patient falls to sleep. With increased comfort, the patient is more likely to use the prescribed treatment on a sustained basis and thereby gain the maximum benefit
35 therefrom.

1 As those skilled in the art will appreciate, the present invention encompasses
many variations in the preferred embodiments described herein. For example while
the present invention is useful in treating sleep apnea, its utility is not so limited, but
rather, the present invention is useful in treating many conditions in which facilitated
5 respiration is a factor in treatment. For example, increased respiratory air pressure
beginning just prior to inhalation induces a deeper inhalation than might otherwise
occur. This may be useful in treating certain cardiovascular conditions where deeper
inhalation and thereby greater oxygenation of the blood is beneficial when accompa-
nied by decreased pressure to ease exhalation. Additionally, the present invention
10 encompasses the use of any breathable gas such as anesthesia or oxygen-supplemented
ambient air.

 As discussed above, the nasal pillow is the preferred means for patient
coupling in order to impose the higher breathable gas pressure on the respiratory
passages of the patient. The present invention, however, also encompasses a nasal
15 mask, or a full face mask which may be desired in certain situations such as the
application of anesthesia as breathable gas as discussed above.

 In the preferred embodiment of the present invention, the position of the vent
valve assembly is varied in order to increase or decrease the pressure of the breathable
gas applied to the patient's respiratory passages. As the detailed description reveals,
20 however, the apparatus hereof includes the capability of varying the speed of the
blower unit which could be used instead to selectively vary the applied pressure. This
would eliminate the need for the vent valve and stepper motor and reduce the
manufacturing cost which would be advantageous as another embodiment of the
25 invention.

 The present invention also encompasses the variation wherein the breathable
gas is compressed and stored in a storage bottle, for example.

 As described above, the preferred controller includes microcontroller 802
which is operated by a computer program. Other equivalent control means might
30 include a custom designed chip with all functions implemented in hardware without a
computer program.

 As disclosed in Fig. 6 herein and the accompanying narrative description, it is
preferred to track the patient's breathing cycle by tracking the movement of vent valve
assembly 16. Those skilled in the art will appreciate that the breath cycle can be
35 tracked by other means such as monitoring chest contractions and expansion, breath-

26

1 ing sounds, directly sensing genioglossus muscle activity, or some equivalent parameter
indicative of a breathing cycle.

5 As a final example, some therapists may prefer that the apparatus start up in
a low pressure or zero pressure mode while the breath cycle is initially tracked. This
may provide further patient comfort in the use of the invention.

10

15

20

25

30

35

27

APPENDIX IACTION TABLE

Sounds State Transition Matrix

#	<u>To: (new)</u>			<u>0</u>	<u>1</u>	<u>2</u>	<u>3</u>	<u>4</u>	<u>5</u>	<u>6</u>	<u>7</u>
From: (old)	Hi			0	0	0	0	1	1	1	1
	Med	0		0	1	1	0	0	1	1	
	Low	0		1	0	1	0	1	0	1	
0	0	0	0	0	1	2	3	4	5	6	7
1	0	0	1	8	9	10	11	12	13	14	15
2	0	1	0	16	17	18	19	20	21	22	23
3	0	1	1	24	25	26	27	28	29	30	31
4	1	0	0	32	33	34	35	36	37	38	39
5	1	0	1	40	4	42	43	44	45	46	47
6	1	1	0	48	49	50	51	52	53	54	55
7	1	1	1	56	57	58	59	60	61	62	63

State	Description of Comments	
0	-	No Sound
1	-	Smooth Snoring [ssnore]
2	-	Other (talking) [other]
3	-	Turbulent Snoring [tsnore]
4	-	Start of clearing an obstruction [sclob]
5	-	Partial obstruction [parob]
6	-	Clearing an obstruction [clob]
7	-	Raucous Snoring [rsnore]

Transition	Action	Comments
0	Decrease	No sounds
1	Increase	Start of ssnore
2	None	Start of other
3	Increase	Start of tsnore
4	Increase	Start of sclob
5	Increase	Start of parob
6	Increase	Start of clob
7	Increase	Start of rsnore
8	None	End of ssnore
9	Increase	Ssnore continues
10	None	End of ssnore
11	Increase	Ssnore to tsnore-airway narrowing?

12	Increase	Airway narrowing?
13	Increase	Airway narrowing?
14	Increase	Airway narrowing?
15	Increase	Airway narrowing?
16	None	End of other
17	Increase	Airway opening?
18	None	Other
19	Increase	Probable tsnore cont.
20	Increase	Clob
21	Increase	Clob
22	Increase	Clob
23	Increase	Rsnore
24	None	End of tsnore
25	Increase	Tsnore to ssnore
26	Increase	Airway narrowing? Airflow decreasing?
27	Increase	Tsnore
28	Increase	Airway narrowing? Airflow increasing?
29	Increase	Airway narrowing? Airflow decreasing?
30	Increase	Airway narrowing? Airflow decreasing?
31	Increase	Airway narrowing? Airflow increasing?
32	None	
33	Increase	Airway opening post obstruction
34	Increase	Airway opening post obstruction
35	Increase	Airway opening post obstruction
36	None	
37	Increase	
38	Increase	
39	Increase	Airway opening post obstruction
40	None	
41	Increase	
42	Increase	
43	Increase	Airway opening post obstruction
44	Increase	
45	Increase	Partially obstructed snore
46	Increase	
47	Increase	Airway opening post obstruction
48	None	
49	Increase	Airway opening post obstruction
50	Increase	Airway opening post obstruction
51	Increase	Airway opening Airflow decreasing

29

52	Increase	Airflow increasing
53	Increase	
54	Increase	
55	Increase	Airway opening post obstruction
56	None	
57	Increase	Airway opening
58	Increase	Airflow decreasing
59	Increase	Airway opening
60	Increase	Airway narrowing? Airflow increasing?
61	Increase	
62	Increase	
63	Increase	

1 CLAIMS:

5 1. An apparatus for facilitating respiration adapted for connection with a patient-coupled gas delivery device having means for pressurizing at least a portion of a patient's respiratory passages with a breathable gas from a source thereof at a controllable gas pressure, the patient exhibiting a repetitive breathing cycle having inhalation and exhalation phases, said apparatus comprising:

10 means for determining a point in the breathing cycle before the onset of an inhalation phase and subsequent to a prior inhalation phase; and gas control means operably coupled with and responsive to said determining means

15 for initiating, at said point in the breathing cycle, an increase in said gas pressure toward a selected higher pressure level, for controlling said gas pressure at said higher level during at least a portion of the inhalation phase, and for subsequently lowering said gas pressure in order to present a lower pressure level during at least a portion of the next exhalation phase.

20 the patient exhibiting detectable sounds associated with respiration, said apparatus further including means for detecting the patient's respiration-sounds, said control means being responsive to said detecting means for controlling said higher pressure level delivered to at least a portion of the patient's respiratory passages in accordance with said detected sounds.

25

30

35

1 2. The apparatus as set forth in claim 1, said apparatus further including

 --
 gas supply means for supplying said breathable gas under pressure,
 patient coupling means for fluidically coupling with at least a portion of the
5 person's respiratory passages in order to deliver said gas thereto,
 conduit means fluidically coupling said gas supply means and said patient
 coupling means, and
 controllable valve means fluidically coupled with said conduit means for
 selectively venting said gas therefrom in order to control said gas
10 pressure therein.

 3. The apparatus as set forth in claim 2, said breathable gas including
 ambient air,
 said supply means including blower means for supplying ambient air under
15 pressure to said conduit means and thereby to the respiratory passag-
 es.

 4. The apparatus as set forth in claim 3, said blower means including a
20 motor-driven, variable-speed, blower operable for selectively varying the ambient air
 supply to said conduit means.

 5. The apparatus as set forth in claim 2, said patient-coupling means
 including nares coupling means for sealing engagement with the nares of the person in
25 order to deliver said gas to the nasal respiratory passages thereof.

 6. The apparatus as set forth in claim 2, said conduit means including a
 vent end, said valve means including a motor shiftable, valve element adjacent said
 vent end for selectively varying the amount of said gas vented therefrom, and including
30 a controllable motor coupled with said element for shifting said element.

35

1 7. The apparatus as set forth in claim 6, said motor including a stepper motor.

5 8. The apparatus as set forth in claim 2, said conduit means including a delivery conduit for delivering said gas to said patient-coupling means from said supply means for inhalation of said gas by the patient, and an exhaust conduit for conveying exhaled gas from said patient-coupling means to said valve means.

10 9. The apparatus as set forth in claim 8, said delivery and exhaust conduits each including a check valve for directional limiting gas flow therethrough.

 10. The apparatus as set forth in claim 1, said breathable gas including ambient air.

15 11. The apparatus as set forth in claim 10, said gas including supplemental oxygen.

20 12. The apparatus as set forth in claim 1, said determining means further including tracking means for tracking the patient's breath cycle, said tracking means including means for determining the rate of flow of said gas and direction of said gas flow inhaled and exhaled by the patient during the breathing cycle, such being indicative of said inhalation and exhalation phases respectively and of said breath cycle.

25 13. The apparatus as set forth in claim 1, said determining means further including tracking means for tracking the patient's breath cycle, said tracking means including means for determining the end of the exhalation phase, said gas control means being responsive thereto for initiating said increase in said gas pressure.

30 14. The apparatus as set forth in claim 1, said determining means further including tracking means for tracking the patient's breath cycle, said tracking means including means for determining a predicted inhalation start time correlated with at least one prior breathing cycle and for initiating said gas pressure increase at a point in the breathing cycle correlated therewith.

35

1 15. The apparatus as set forth in claim 1, said determining means further
including tracking means for tracking the patient's breath cycle, said tracking means
including means for determining the end of an inhalation phase, such being indicative
of the end of one breathing cycle and the beginning of another breathing cycle.

5

 16. The apparatus as set forth in claim 1, further including --
gas supply means for supplying said breathable gas under pressure,
patient coupling means for fluidically coupling with at least a portion of the
 person's respiratory passages in order to deliver said gas thereto,
10 conduit means fluidically coupling said gas supply means and said patient
coupling means,
controllable valve means fluidically coupled with said conduit means for
selectively venting said gas therefrom in order to control said gas
pressure therein and thereby within the respiratory passages of a
15 patient,
said gas including ambient air, said supply means including blower means for
supplying the ambient air under pressure to said conduit means and
thereby to the respiratory passages,
20 said conduit means including a vent end,
 said valve means including motor-shiftable, valve element adjacent said
vent end for selectively varying the amount of said gas vented
therefrom, and including a stepper motor for selective posi-
tioning of said element,
25 said apparatus further including pressure sensing means for sensing the gas
pressure in said conduit means,
 said gas control means being coupled with and responsive to said
pressure sensing means and including means coupled with
said valve means for selectively operating said valve means in
30 order to maintain the gas pressure in said conduit at a sub-
stantially constant selected level,
 said gas control means including means for determining the direction
and rate of change of said valve means, such being indicative
of the patient's breathing cycle and of said inhalation and
35 exhalation phases respectively.

1 17. The apparatus as set forth in claim 1, said gas control means including computer means.

5 18. The apparatus as set forth in claim 17, said computer means including a microcontroller.

10 19. The apparatus as set forth in claim 1, the patient being subject to sleep apnea and being responsive to the presence of a predetermined pressure level of a pressurized gas in at least a portion of the respiratory passages thereof for alleviating said sleep apnea,
said selective pressure level being correlated with said predetermined pressure level.

15 20. A method for operating a gas delivery device having means for pressurizing at least a portion of a patient's respiratory passages with a breathable gas from a source thereof at a controllable gas pressure for facilitating respiration of the patient, the patient exhibiting a repetitive breath cycle having inhalation and exhalation phases, said method comprising the steps of:

- 20 (a) determining a point in the patient's breath cycle before the onset of an inhalation phase and subsequent to a prior inhalation phase;
- (b) initiating, at said point in the breathing cycle, an increase in said gas pressure toward a selected higher pressure level;
- 25 (c) controlling said gas pressure at said higher level during at least a portion of the inhalation phase;
- (d) subsequently lowering said gas pressure in order to present a lower pressure level during at least a portion of the next exhalation phase, the patient exhibiting detectable sounds associated with respiration;
- 30 (e) detecting the patient's respiration-associated sounds; and
- (f) controlling said higher pressure level in accordance with said respiration-associated sounds.

35 21. The method as set forth in claim 20, further including the step of supplying ambient air as said breathable gas.

35

1 22. The method as set forth in claim 21, further including the step of
determining the rate of flow of said gas and direction of said gas flow inhaled and
exhaled by the patient, such being indicative of the inhalation and exhalation phases
respectively and of the breath cycle.

23. The method as set forth in claim 22, further including the steps of determining the end of the exhalation phase at said point in the breathing cycle.

24. The method as set forth in claim 22, further including the step of
10 determining a predicted inhalation start time correlated with at least one prior
breathing cycle as said point in the breathing cycle.

15 25. The method as set forth in claim 20, further including the step of determining the end of the inhalation phase, such being indicative of the end of one breathing cycle and the beginning of another breathing cycle.

26. The method as set forth in claim 20, further including the steps of:
providing --

20 gas supply means for supplying breathable gas under pressure,
patient-coupling means for fluidically coupling with at least a portion
of the patient's respiratory passages in order to deliver said
gas thereto,
conduit means fluidically coupling said supply means and said patient-
25 coupling means, and
controllable vent means fluidically coupled with said conduit means
for selectively venting said gas therefrom in order to control
said gas pressure therein and thereby within the respiratory
passages;
30 sensing the pressure in said conduit;
selectively shifting said vent means in order to control the pressure in said
conduit means at said selected higher pressure level and at said lower
pressure level; and
35 tracking the position over time of said vent means, such being indicative of
the patient's breath cycle and the inhalation and exhalation phases.

1 27. The method as set forth in claim 20, the patient being subject to sleep
apnea and being responsive to the presence of a predetermined pressure level of
pressurized gas in at least a portion of the respiratory passages to alleviate the sleep
apnea, said selected higher pressure level being correlated with said predetermined
5 pressure level.

 28. An apparatus for facilitating respiration adapted for connection with a
patient-coupled gas delivery device having means for controllably pressurizing at least
a portion of a patient's respirator passages with a breathable gas from a source
10 thereof, the patient exhibiting detectable sounds associated with respiration, said
apparatus comprising:

 means for detecting the patient's respiration-associated sounds; and
 control means, including means for operably coupling with the gas delivery
 device, and coupled with and responsive to said detecting means, for
15 controlling the gas pressure delivered to at least a portion of the
patient's respiratory passages in accordance with said detected sounds,
said control means including --
 spectral analysis means for producing a spectral frequency analysis of
 the patient's respiratory sounds,
20 memory means for storing pressure change information correlated
 with a spectral analysis of said sounds, and
 processor means responsive to said spectral analysis for selecting
 correlated pressure change information from said memory
25 means and for changing said gas pressure in accordance
 therewith.

 29. The apparatus as set forth in claim 28, said detecting means including
a pressure transducer.

30 30. The apparatus as set forth in claim 28, said detecting means including
a microphone.

35

1 31. The apparatus as set forth in claim 28, said detecting means being
operable for producing detection signals in response to said sounds and representative
thereof.

5 32. The apparatus as set forth in claim 31, said apparatus further
including means for producing spectrum signals representative of a spectrum of
frequencies making up said sounds.

10 33. The apparatus as set forth in claim 32, said control means including
means for receiving and responding to said spectrum signals for controlling said gas
pressure in accordance therewith.

15 34. The apparatus as set forth in claim 33, said control means further
including means for storing data representative of a plurality of control actions
corresponding to predetermined spectrum signals, means responsive to said spectrum
signals for selecting said control actions in correspondence therewith, and means for
controlling said gas pressure in accordance with said selected control actions.

20 35. The apparatus as set forth in claim 34, said control means including a
microprocessor.

25 36. The apparatus as set forth in claim 28, the patient sounds including
snoring sounds.

 37. The apparatus as set forth in claim 36, said control means being
operable for repetitively increasing gas pressure until the snoring sounds are no longer
detected.

30 38. The apparatus as set forth in claim 36, said control means being
operable for decreasing said gas pressure in the absence of snoring sounds.

35

1 39. An apparatus for facilitating respiration adapted for connection with a
patient-coupled gas delivery device having means for pressurizing at least a portion of
a patient's respiratory passages with a breathable gas from a source thereof at a
controllable gas pressure, the patient exhibiting a repetitive breathing cycle having
5 inhalation and exhalation phases, said apparatus comprising:

 means for determining a point in the breathing cycle before the onset of an
 inhalation phase and subsequent to a prior inhalation phase; and
 gas control means operably coupled with and responsive to said determining
 means
10 for initiating, at said point in the breathing cycle, an increase in said
 gas pressure toward a selected higher pressure level,
 for controlling said gas pressure at said higher level during at least a
 portion of the inhalation phase, and
15 for subsequently lowering said gas pressure in order to present a
 lower pressure level during at least a portion of the next
 exhalation phase.

 40. The apparatus as set forth in claim 39, said apparatus further
20 including --
 gas supply means for supplying said breathable gas under pressure,
 patient coupling means for fluidically coupling with at least a portion of the
 person's respiratory passages in order to deliver said gas thereto,
 conduit means fluidically coupling said gas supply means and said patient
25 coupling means, and
 controllable valve means fluidically coupled with said conduit means for
 selectively venting said gas therefrom in order to control said gas
 pressure therein.

30 41. The apparatus as set forth in claim 40, said breathable gas including
 ambient air,
 said supply means including blower means for supplying ambient air under
 pressure to said conduit means and thereby to the respiratory passag-
 es.

35

1 42. The apparatus as set forth in claim 41, said blower means including a
motor-driven, variable-speed, blower operable for selectively varying the ambient air
supply to said conduit means.

5 43. The apparatus as set forth in claim 40, said patient-coupling means
including nares coupling means for sealing engagement with the nares of the person in
order to deliver said gas to the nasal respiratory passages thereof.

10 44. The apparatus as set forth in claim 40, said conduit means including a
vent end, said valve means including a motor shiftable, valve element adjacent said
vent end for selectively varying the amount of said gas vented therefrom, and including
a controllable motor coupled with said element for shifting said element.

15 45. The apparatus as set forth in claim 44, said motor including a stepper
motor.

20 46. The apparatus as set forth in claim 40, said conduit means including a
delivery conduit for delivering said gas to said patient-coupling means from said
supply means for inhalation of said gas by the patient, and an exhaust conduit for
conveying exhaled gas from said patient-coupling means to said valve means.

25 47. The apparatus as set forth in claim 46, said delivery and exhaust
conduits each including a check valve for directional limiting gas flow therethrough.

 48. The apparatus as set forth in claim 39, said breathable gas including
ambient air.

30 49. The apparatus as set forth in claim 48, said gas including supplemen-
tal oxygen.

1 50. The apparatus as set forth in claim 39, said determining means
further including tracking means for tracking the patient's breath cycle, said tracking
means including means for determining the rate of flow of said gas and direction of
said gas flow inhaled and exhaled by the patient during the breathing cycle, such being
5 indicative of said inhalation and exhalation phases respectively and of said breath
cycle.

 51. The apparatus as set forth in claim 39, said determining means
further including tracking means for tracking the patient's breath cycle, said tracking
10 means including means for determining the end of the exhalation phase, said gas
control means being responsive thereto for initiating said increase in said gas pressure.

 52. The apparatus as set forth in claim 39, said determining means
further including tracking means for tracking the patient's breath cycle, said tracking
15 means including means for determining a predicted inhalation start time correlated
with at least one prior breathing cycle and for initiating said gas pressure increase at a
point in the breathing cycle correlated therewith.

20 53. The apparatus as set forth in claim 39, said determining means
further including tracking means for tracking the patient's breath cycle, said tracking
means including means for determining the end of an inhalation phase, such being
indicative of the end of one breathing cycle and the beginning of another breathing
cycle.

25

30

35

1 54. The apparatus as set forth in claim 39, further including --
gas supply means for supplying said breathable gas under pressure,
patient coupling means for fluidically coupling with at least a portion of the
 person's respiratory passages in order to deliver said gas thereto,
5 conduit means fluidically coupling said gas supply means and said patient
coupling means,
controllable valve means fluidically coupled with said conduit means for
 selectively venting said gas therefrom in order to control said gas
pressure therein and thereby within the respiratory passages of a
10 patient,
said gas including ambient air, said supply means including blower means for
 supplying the ambient air under pressure to said conduit means and
thereby to the respiratory passages,
said conduit means including a vent end,
15 said valve means including motor-shiftable, valve element adjacent said vent
end for selectively varying the amount of said gas vented therefrom,
and including a stepper motor for selective positioning of said ele-
ment,
20 said apparatus further including pressure sensing means for sensing the gas
pressure in said conduit means,
said gas control means being coupled with and responsive to said
 pressure sensing means and including means coupled with
said valve means for selectively operating said valve means in
25 order to maintain the gas pressure in said conduit at a sub-
stantially constant selected level
said gas control means including means for determining the direction
and rate of change of said valve means, such being indicative
of the patient's breathing cycles and of said inhalation and
30 exhalation phases respectively.

 55. The apparatus as set forth in claim 39, said gas control means
including computer means.

35

1 56. The apparatus as set forth in claim 55, said computer means including
a microcontroller.

5 57. The apparatus as set forth in claim 39, the patient being subject to
sleep apnea and being responsive to the presence of a predetermined pressure level of
a pressurized gas in at least a portion of the respiratory passages thereof for allevi-
ating said sleep apnea,

said selective pressure level being correlated with said predetermined pressure
level.

10

58. A method for operating a gas delivery device having means for
pressurizing at least a portion of a patient's respiratory passages with a breathable gas
from a source thereof at a controllable gas pressure for facilitating respiration of the
patient, the patient exhibiting a repetitive breath cycle having inhalation and exhala-
tion phases, said method comprising the steps of:

15

- (a) determining a point in the patient's breath cycle before the onset of
an inhalation phase and subsequent to a prior inhalation phase;
- (b) initiating, at said point in the breathing cycle, an increase in said gas
pressure toward a selected higher pressure level;
- (c) controlling said gas pressure at said higher level during at least a
portion of the inhalation phase; and
- (d) subsequently lowering said gas pressure in order to present a lower
pressure level during at least a portion of the next exhalation phase.

20

25

59. The method as set forth in claim 58, further including the step of
supplying ambient air as said breathable gas.

60. The method as set forth in claim 21, further including the step of
determining the rate of flow of said gas and direction of said gas flow inhaled and
exhaled by the patient, such being indicative of the inhalation and exhalation phases
respectively and of the breath cycle.

30

61. The method as set forth in claim 60, further including the steps of
determining the end of the exhalation phase as said point in the breathing cycle.

35

1 62. The method as set forth in claim 60, further including the step of
determining a predicted inhalation start time correlated with at least one prior
breathing cycle as said point in the breathing cycle.

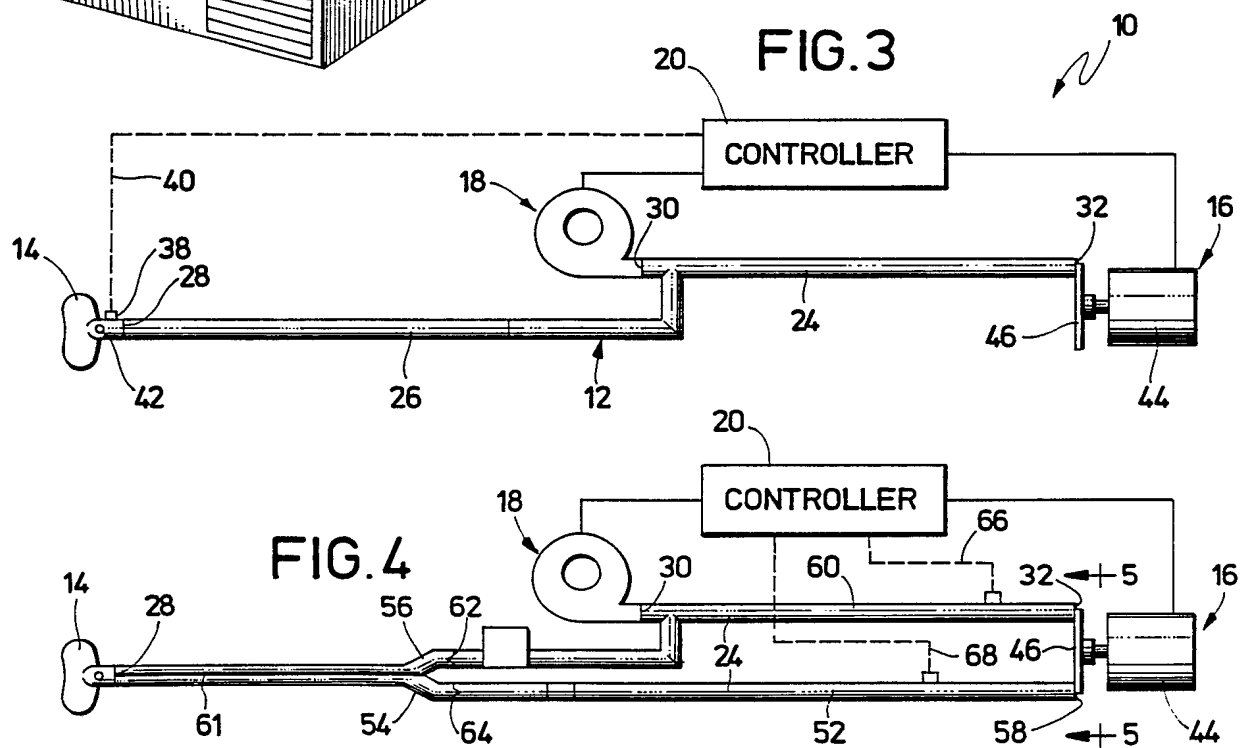
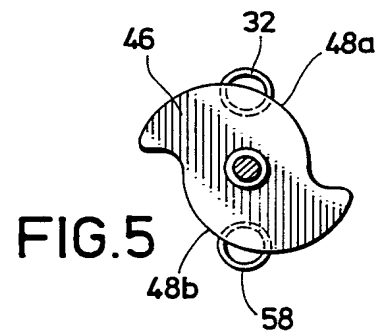
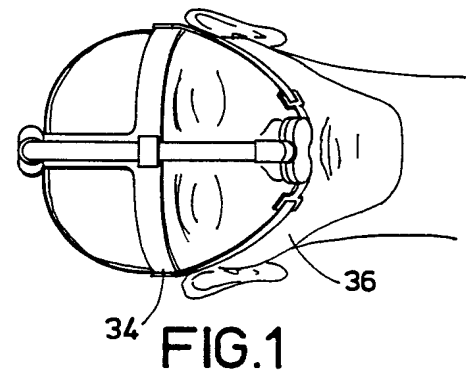
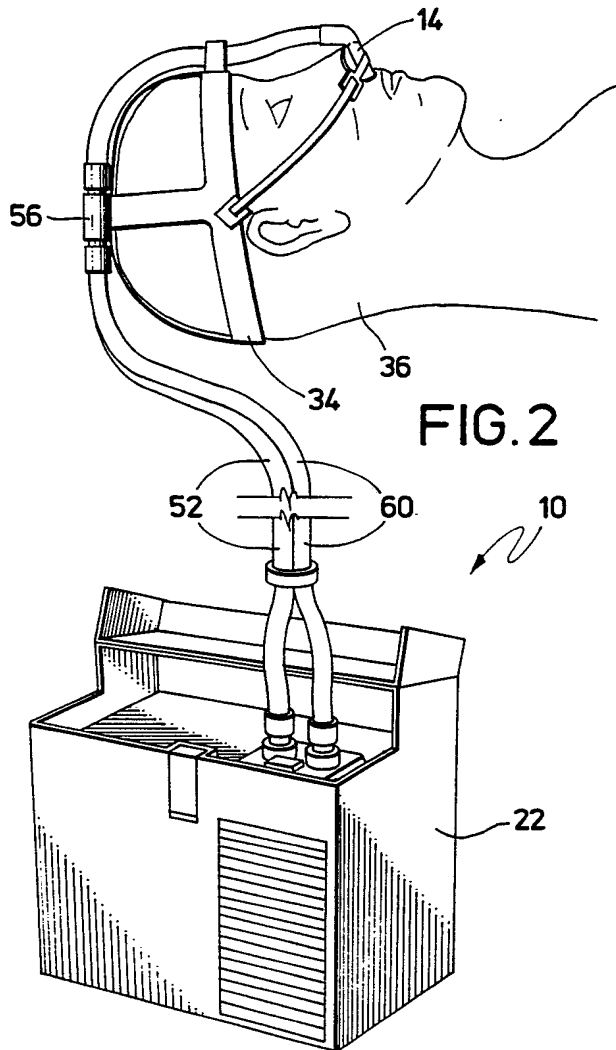
5 63. The method as set forth in claim 58, further including the step of
determining the end of the inhalation phase, such being indicative of the end of one
breathing cycle and the beginning of another breathing cycle.

10 64. The method as set forth in claim 58, further including the steps of:
providing --
gas supply means for supplying breathable gas under pressure,
patient-coupling means for fluidically coupling with at least a portion
of the patient's respiratory passages in order to deliver said
gas thereto,
15 conduit means fluidically coupling said supply means and said patient-
coupling means, and
controllable vent means fluidically coupled with said conduit means
for selectively venting said gas therefrom in order to control
said gas pressure therein and thereby within the respiratory
20 passages;
sensing the pressure in said conduit;
selectively shifting said vent means in order to control the pressure in said
conduit means at said selected higher pressure level and at said lower
25 pressure level; and
tracking the position over time of said vent means, such being indicative of
the patient's breath cycle and the inhalation and exhalation phases.

30 65. The method as set forth in claim 58, the patient being subject to a
sleep apnea and being responsive to the presence of a predetermined pressure level of
pressurized gas in at least a portion of the respiratory passages to alleviate the sleep
apnea, said selected higher pressure level being correlated with said predetermined
pressure level.

35

1/6



SUBSTITUTE SHEET

2/6

FIG.13

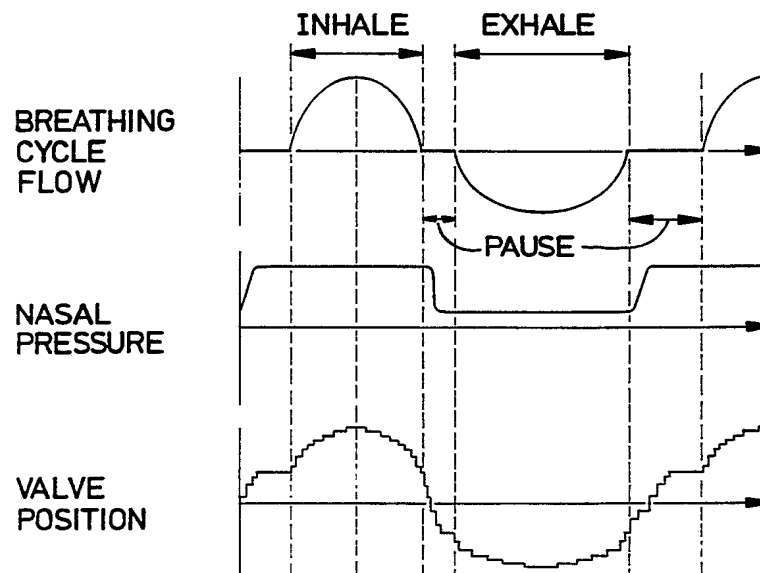
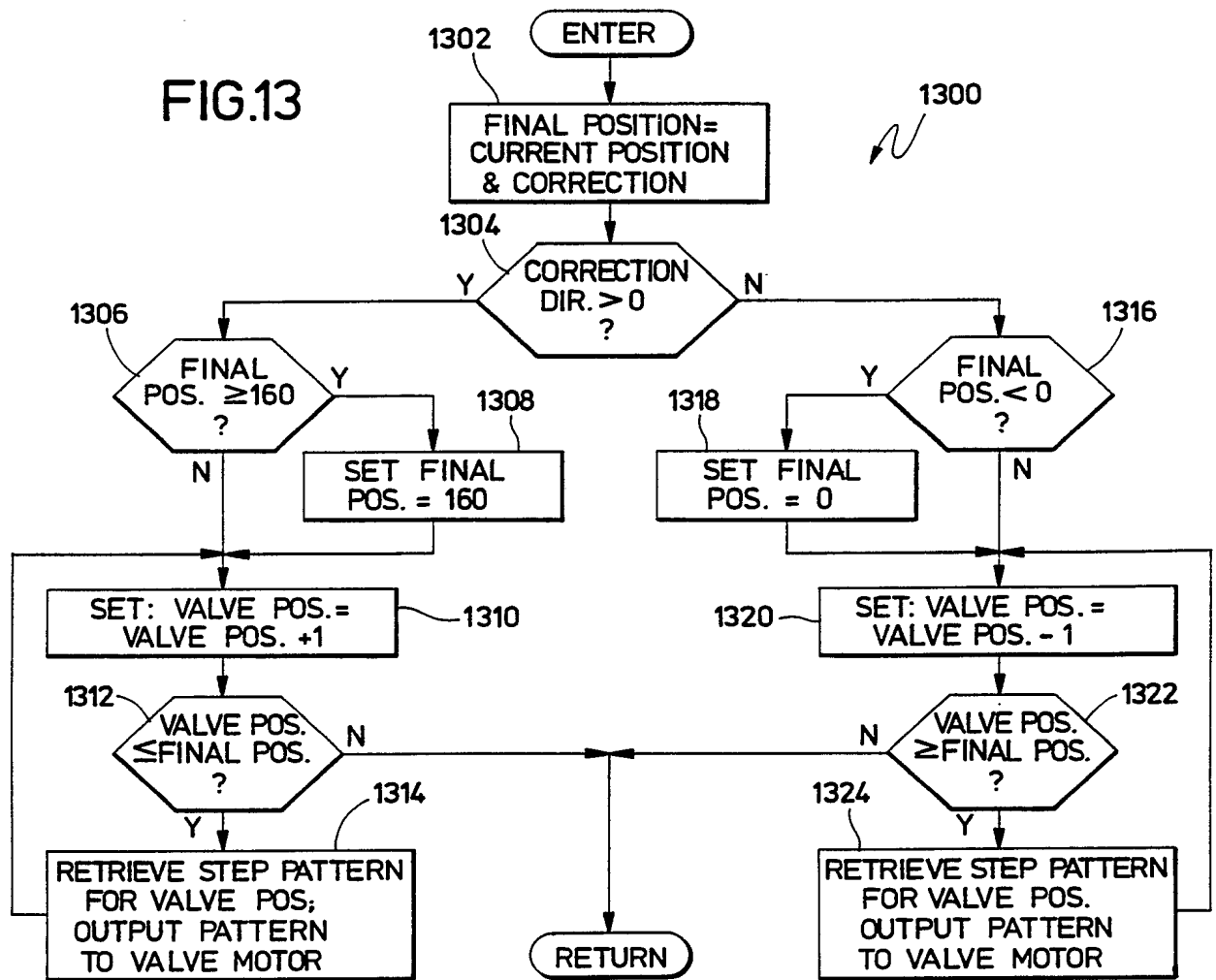


FIG.6

3/6

FIG.7

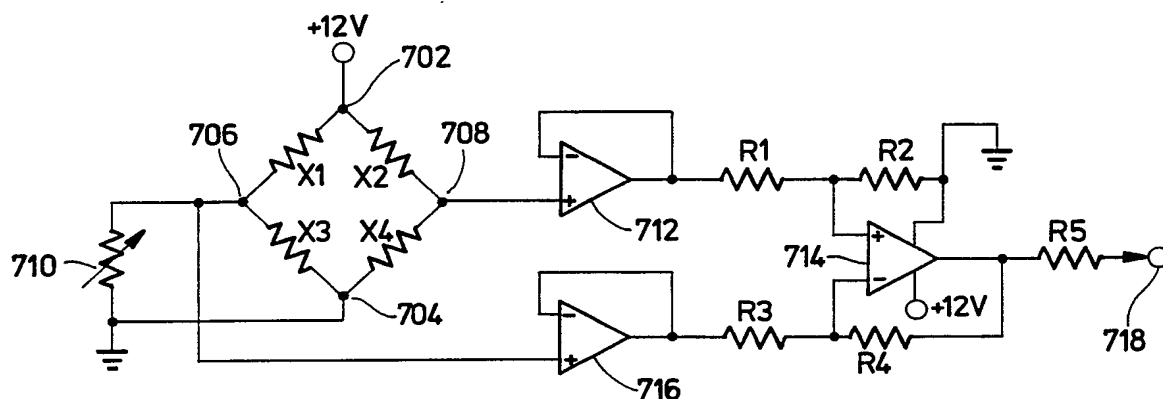


FIG.11

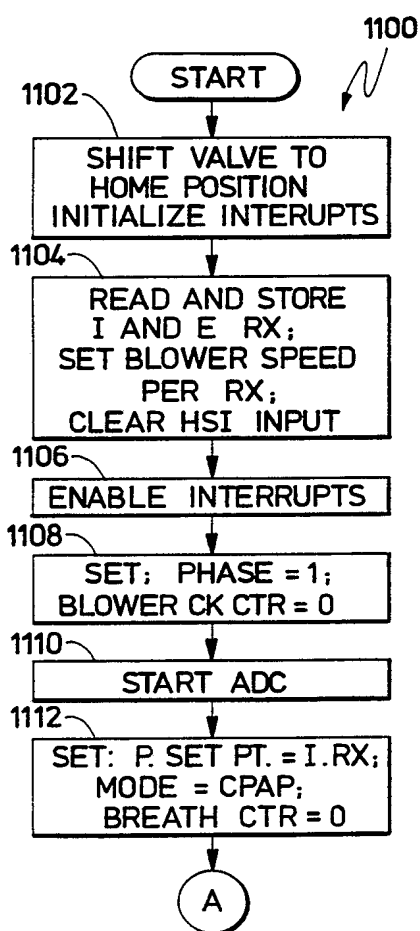


FIG.14

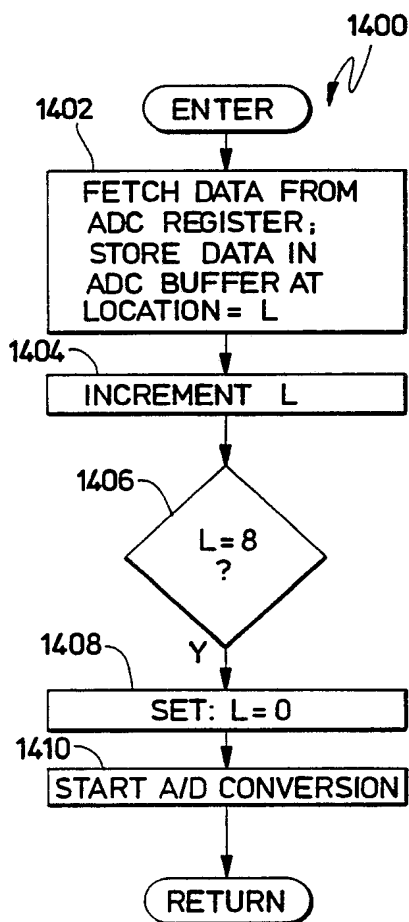
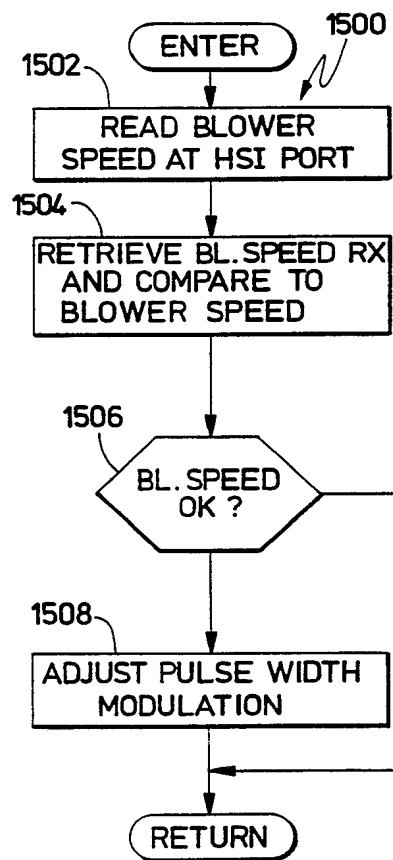
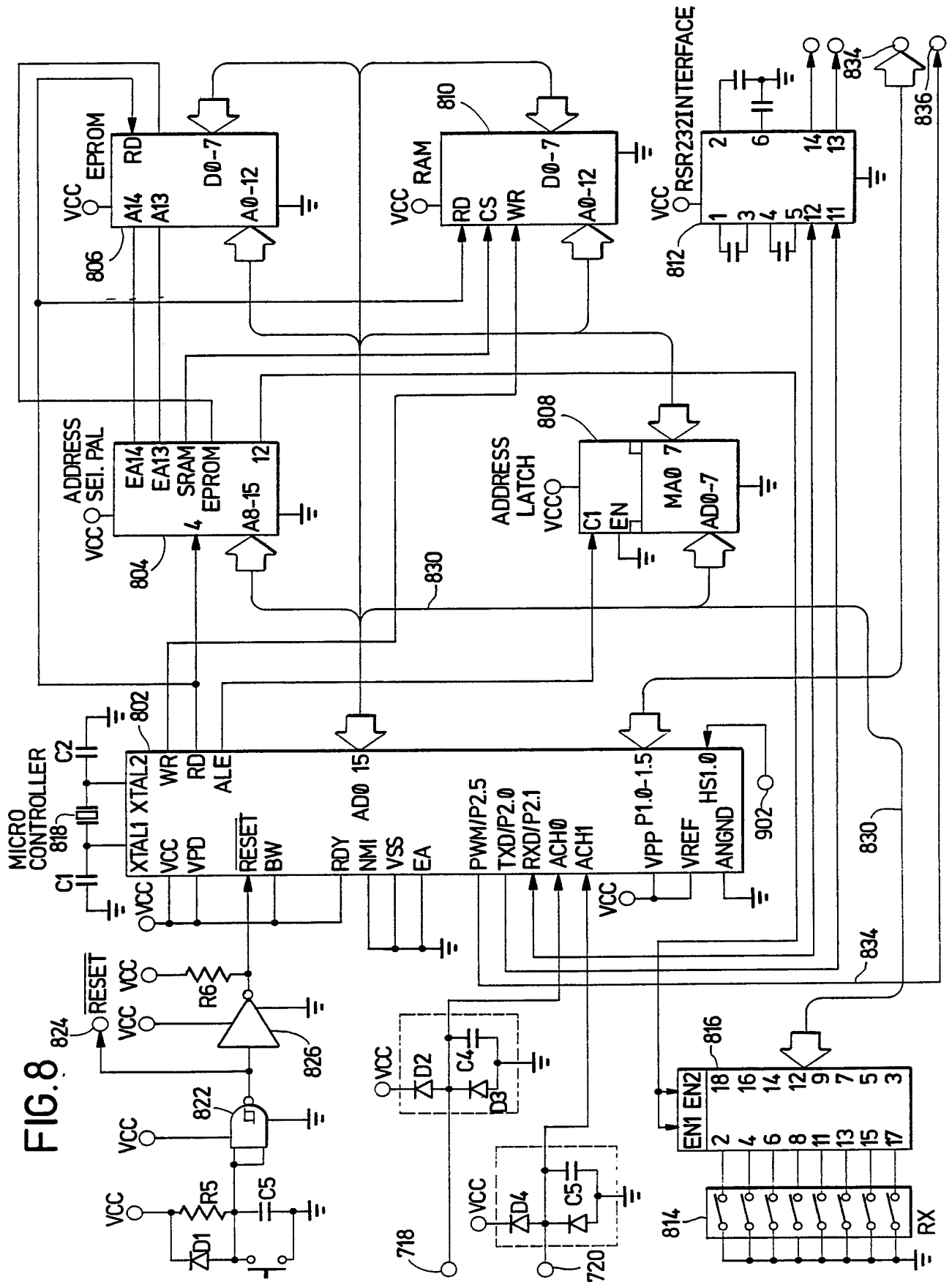


FIG.15



SUBSTITUTE SHEET

4/6



SUBSTITUTE SHEET

5/6

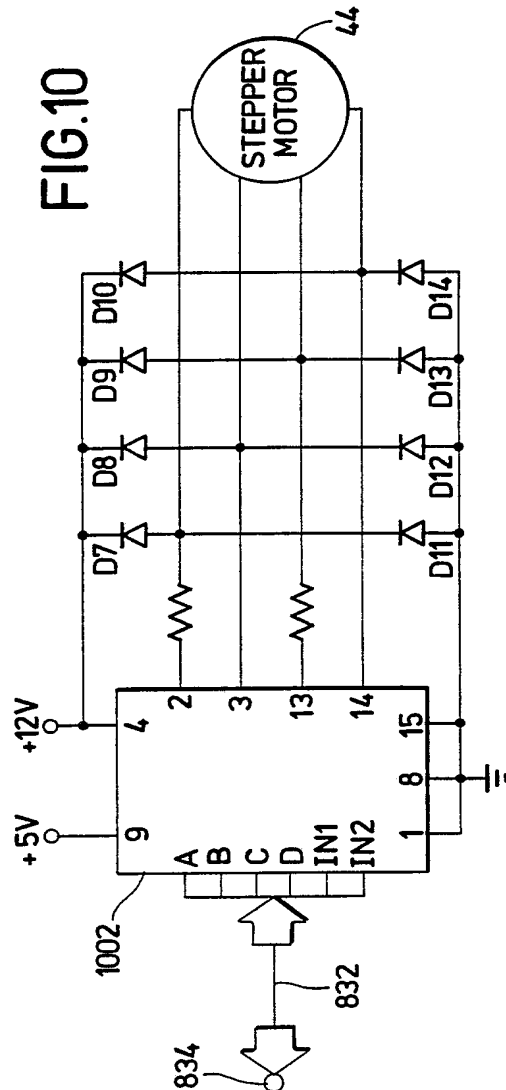
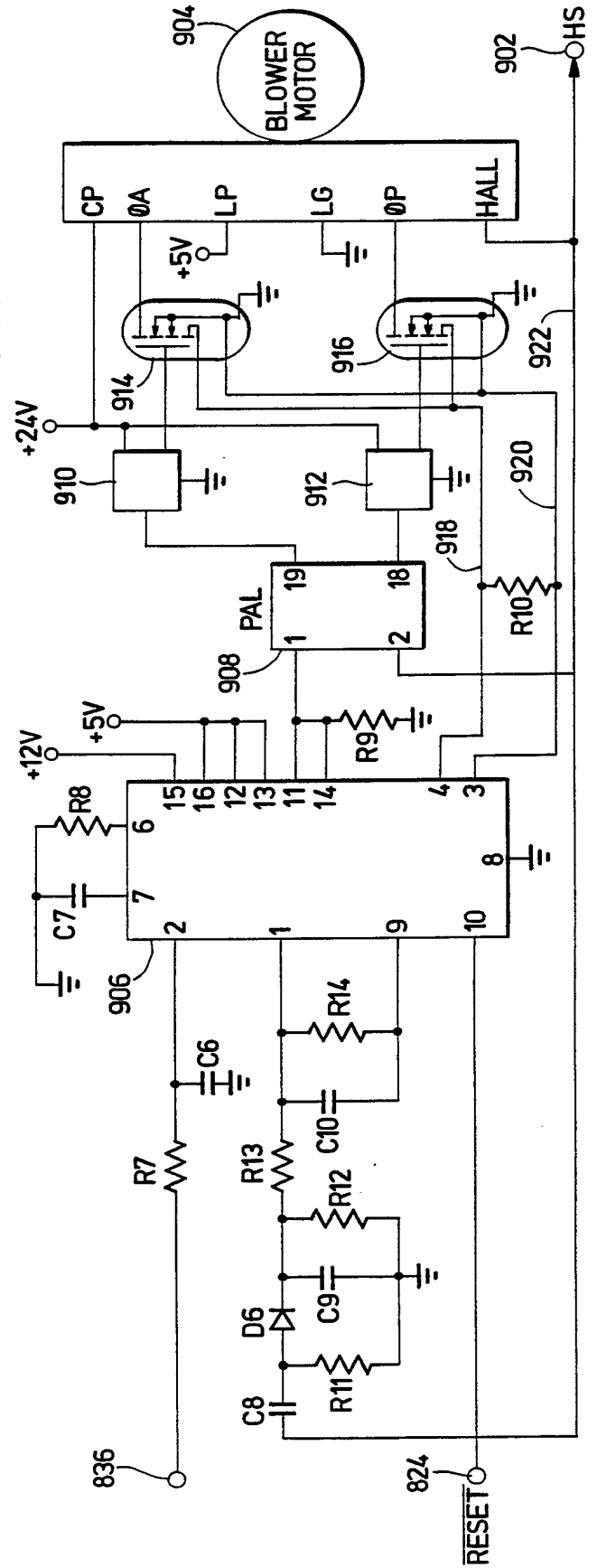


FIG. 9



6/6

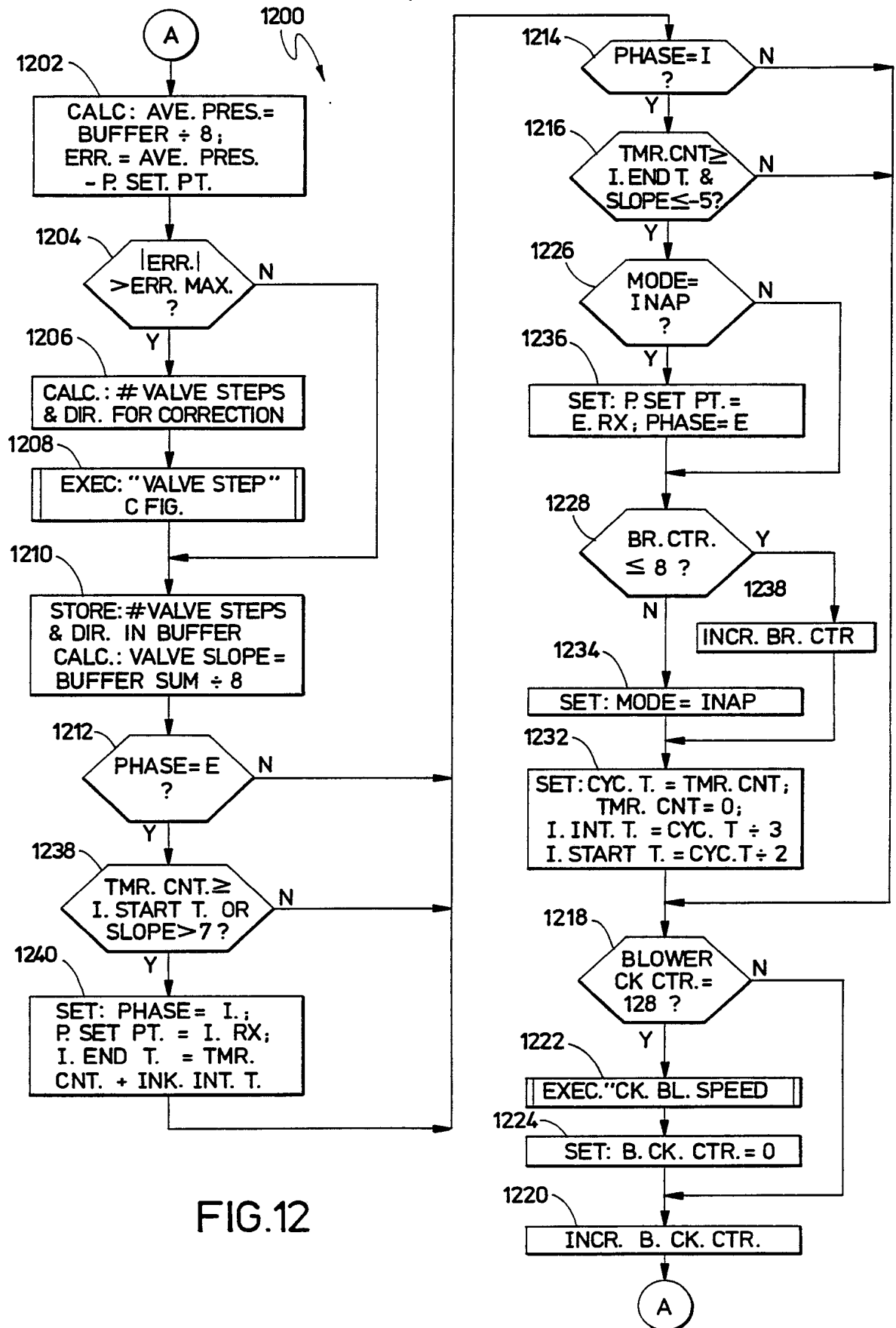


FIG. 12

INTERNATIONAL SEARCH REPORT

International Application No PCT/US90/02800

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all) ³ According to International Patent Classification (IPC) or to both National Classification and IPC IPC (5): A61M 16/00 U.S.Cl.: 128/204.23, 204.21, 724																				
II. FIELDS SEARCHED <div style="text-align: center; margin-top: 10px;">Minimum Documentation Searched ⁴</div> <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none;">Classification System ¹</td> <td style="width: 50%; border: none;">Classification Symbols</td> </tr> <tr> <td style="border: none; padding-top: 10px;">U.S.Cl.</td> <td style="border: none; padding-top: 10px;">128/204.18, 204.21, 204.23, 207.18, 207.14, 671, 719, 724</td> </tr> </table> <div style="text-align: center; margin-top: 10px;">Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁵</div>			Classification System ¹	Classification Symbols	U.S.Cl.	128/204.18, 204.21, 204.23, 207.18, 207.14, 671, 719, 724														
Classification System ¹	Classification Symbols																			
U.S.Cl.	128/204.18, 204.21, 204.23, 207.18, 207.14, 671, 719, 724																			
III. DOCUMENTS CONSIDERED TO BE RELEVANT ¹⁴ <table border="1" style="width: 100%; border-collapse: collapse; margin-top: 5px;"> <thead> <tr> <th style="width: 10%;">Category [*]</th> <th style="width: 70%;">Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷</th> <th style="width: 20%;">Relevant to Claim No. ¹⁸</th> </tr> </thead> <tbody> <tr> <td style="text-align: center; vertical-align: top;">Y, E A</td> <td>U.S.A., 4,938,212, (SNOOK et al.), 03 July 1990. See Abstract; column 2, paragraph 4; and column 3, paragraph 1.</td> <td style="text-align: center; vertical-align: top;">1-27, 39-65 28-38</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y A</td> <td>U.S.A., 4,802,485, (BOWERS et al.), 07 February 1989. See entire document.</td> <td style="text-align: center; vertical-align: top;">1-27, 39-65</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y A</td> <td>U.S.A., 4,823,788, (SMITH et al.), 25 April 1989. See entire document.</td> <td style="text-align: center; vertical-align: top;">1-27, 39-65 28-38</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y A</td> <td>U.S.A., 3,972,327, (ERNST et al.), 03 August 1976. See column 2, lines 20-37; column 3, paragraph 4; and column 3, paragraph 9 - column 4, paragraph 2.</td> <td style="text-align: center; vertical-align: top;">3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38</td> </tr> <tr> <td style="text-align: center; vertical-align: top;">Y A</td> <td>U.S.A., 3,961,627, (ERNST et al.), 08 June 1976. See abstract, column 1, paragraphs 3, 6-8; column 2, paragraphs 2, 3 column 3, the last paragraph; column 4, paragraphs 1, 2, 6, 7; column 5, paragraphs 1-6; column 9, paragraphs 3, 4; column 11, paragraphs 2, 6.</td> <td style="text-align: center; vertical-align: top;">3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38.</td> </tr> </tbody> </table>			Category [*]	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸	Y, E A	U.S.A., 4,938,212, (SNOOK et al.), 03 July 1990. See Abstract; column 2, paragraph 4; and column 3, paragraph 1.	1-27, 39-65 28-38	Y A	U.S.A., 4,802,485, (BOWERS et al.), 07 February 1989. See entire document.	1-27, 39-65	Y A	U.S.A., 4,823,788, (SMITH et al.), 25 April 1989. See entire document.	1-27, 39-65 28-38	Y A	U.S.A., 3,972,327, (ERNST et al.), 03 August 1976. See column 2, lines 20-37; column 3, paragraph 4; and column 3, paragraph 9 - column 4, paragraph 2.	3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38	Y A	U.S.A., 3,961,627, (ERNST et al.), 08 June 1976. See abstract, column 1, paragraphs 3, 6-8; column 2, paragraphs 2, 3 column 3, the last paragraph; column 4, paragraphs 1, 2, 6, 7; column 5, paragraphs 1-6; column 9, paragraphs 3, 4; column 11, paragraphs 2, 6.	3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38.
Category [*]	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No. ¹⁸																		
Y, E A	U.S.A., 4,938,212, (SNOOK et al.), 03 July 1990. See Abstract; column 2, paragraph 4; and column 3, paragraph 1.	1-27, 39-65 28-38																		
Y A	U.S.A., 4,802,485, (BOWERS et al.), 07 February 1989. See entire document.	1-27, 39-65																		
Y A	U.S.A., 4,823,788, (SMITH et al.), 25 April 1989. See entire document.	1-27, 39-65 28-38																		
Y A	U.S.A., 3,972,327, (ERNST et al.), 03 August 1976. See column 2, lines 20-37; column 3, paragraph 4; and column 3, paragraph 9 - column 4, paragraph 2.	3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38																		
Y A	U.S.A., 3,961,627, (ERNST et al.), 08 June 1976. See abstract, column 1, paragraphs 3, 6-8; column 2, paragraphs 2, 3 column 3, the last paragraph; column 4, paragraphs 1, 2, 6, 7; column 5, paragraphs 1-6; column 9, paragraphs 3, 4; column 11, paragraphs 2, 6.	3, 4, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38.																		
<div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <p>[*] Special categories of cited documents: ¹⁵</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> </div> <div style="width: 45%;"> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"&" document member of the same patent family</p> </div> </div>																				
IV. CERTIFICATION <table style="width: 100%; border: none;"> <tr> <td style="width: 50%; border: none; vertical-align: top;"> Date of the Actual Completion of the International Search ² 13 August 1990 International Searching Authority ¹ ISA/US </td> <td style="width: 50%; border: none; vertical-align: top;"> Date of Mailing of this International Search Report ² <div style="text-align: center; font-size: 1.2em; font-weight: bold;">9 OCT 1990</div> <div style="text-align: center;"> Signature of Authorized Officer ²⁰ Kimberly L. Asher </div> </td> </tr> </table>			Date of the Actual Completion of the International Search ² 13 August 1990 International Searching Authority ¹ ISA/US	Date of Mailing of this International Search Report ² <div style="text-align: center; font-size: 1.2em; font-weight: bold;">9 OCT 1990</div> <div style="text-align: center;"> Signature of Authorized Officer ²⁰ Kimberly L. Asher </div>																
Date of the Actual Completion of the International Search ² 13 August 1990 International Searching Authority ¹ ISA/US	Date of Mailing of this International Search Report ² <div style="text-align: center; font-size: 1.2em; font-weight: bold;">9 OCT 1990</div> <div style="text-align: center;"> Signature of Authorized Officer ²⁰ Kimberly L. Asher </div>																			

III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)		
Category *	Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷	Relevant to Claim No ¹⁸
<u>Y,P</u> A	U.S.A., 4,883,051, (WESTENSKOW et al.), 28 November 198. See column 2, paragraph 6; column 7, paragraph 2; column 8, paragraphs 5-7.	3, 4, 6, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38
<u>Y</u> A	U.S.A., 4,156,426, (GOLD), 29 May 1979. See entire document.	5, 43 28-38
<u>Y</u> A	U.S.A., 3,028,873, (KINDRED), 10 April 1962. See entire document.	9, 47 28-38
A,P	U.S.A., 4,838,258, (DRYDEN et al.) 13 June 1989. See entire document.	1-65
A	U.S.A., 4,570,631, (DURKAN), 18 February 1986. See entire document.	1-65
A	U.S.A., 4,357,936, (ELLESTAD et al.), 09 November 1982. See entire document.	1-65
A,P	U.S.A., 4,915,103, (VISVESHWARA et al.), 10 April 1990. See entire document.	1-65
A	U.S.A., 4,667,669, (PASTERNAK), 26 May 1986. See entire document.	1-65
A	U.S.A., 4,807,616, (ADAHAN), 28 February 1989. See entire document.	1-65
A	U.S.A., 3,840,006, (BUCK et al.), 08 October 1974. See entire document.	1-65
A	U.S.A., 3,863,630, (CABALLO), 04 February 1975. See entire document.	1-65
A	U.S.A., 4,776,333, (MIYAMAE), 11 October 1988. See entire document.	1-65
A	U.S.A., 4,726,366, (APPLE et al.), 23 February 1988. See entire document.	1-65
A	U.S.A., 4,637,385, (RUSZ), 20 January 1987. See entire document.	1-65
A	U.S.A., 4,508,117, (RODAR), 02 April 1985. See entire document.	1-65

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

<u>Y</u> A	U.S.A., 4,527,557, (DeVRIES et al.), 09 July 1985. See abstract and figure 3.	3, 4, 6, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38
<u>Y</u> A	U.S.A., 4,239,039, (THOMPSON), 16 December 1980. See column 1, paragraph 2; column 3, paragraph 6; column 4, paragraphs 2, 4.	3, 4, 6, 7, 16-18, 24, 26, 41, 42, 44, 45, 54-56, 62, 64 28-38

V. ☐ OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE¹

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. ☐ Claim numbers _____, because they relate to subject matter not required to be searched by this Authority, namely:

2. ☐ Claim numbers _____, because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:

3. ☐ Claim numbers _____, because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. ☐ OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING²

This International Searching Authority found multiple inventions in this international application as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:

3. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:

4. ☐ As all searchable claims could be searched without effort justifying an additional fee, the International Searching Authority did not invite payment of any additional fee.

Remark on Protest

- ☐ The additional search fees were accompanied by applicant's protest.
☐ No protest accompanied the payment of additional search fees.